

# **RISKPRO INDIA**

**ISO 27001** 



950+ Happy Clients

### Riskpro's Overview





Our growth story is continuous.

### **Riskpro Clients & Partners Presence**



# **Our Service Verticals**

**Risk Advisory** 

**IT Advisory** 

Technology

**Trainings** 

Recruitment



### **Our Services**



### Risk Advisory

- Risk Based Internal Audits
- Internal Financial Controls
- Standard Operation Procedures (SOPs)
- Legal Compliance Audits
- Environment Social Governance (ESG)
- Vendor Risk Management (TPRM)
- Fraud and Forensics
- Fraud Risk Framework
- SOX Compliance
- · Internal Control Reviews
- Policy and Process Reviews
- Process Improvements
- Third Party Due Diligence
- Loan File Hind sighting
- Corporate Governance Advisory
- · Branch Audits
- Integrity And Ethics
- Prevention of Insider Trading



#### IT Advisory

- GDPR
- SSAE(SOC Audits)
- HIPAA Compliances
- Cyber Security Advisory
- Penetration Testing VA/PT
- Business
   Continuity/Disaster
   Recovery
- · Firewall Audits
- · PCI DSS Certification
- ISO 27001
- ISO 22301 (Business Continuity)
- IS Audit/ ITGC
- IT Service Management
- IT Assurance & Governance
- Personal Data Protection (PDP)
- CMMC
- 21 CFR Part 11
- NIST Compliance
- · Microsoft SSPA



#### **Enterprise Risk**

- Enterprise Risk Management (ERM)
- Specific Risk
   Assessments
   (Reputation, Compliance etc)
- Enterprise Risk Assessments
- KRI Library
- Risk Library
- Operational Risk Management
- Business Strategic Risks
- · ERM Benchmarking
- ERM Framework Implementation
- Risk Management Policy
- Social Media Risk Management
- Market Risk
- Credit Risk
- Liquidity Risk
- ICAAP
- End User Computing (EUC) Risk



#### **GRC Technology**

- Third Party Risk (TPRM) Software
- Internal Audit Software
- Risk Management Software
- Prevention of Insider Training Compliance Software
- Legal Compliance management Software
- Board & Secretarial Compliance Software
- Labour Compliance Automation Software
- Contract Management Software
- Litigation Management Software
- Document Management Software
- Whistleblowing Software
- Cyber Security Software
- Automating Accounts Function Software
- Treasury Management Software
- Data Analytics Tool



#### **GRC Trainings**

- E Learnings
- ERM Training
- Risk Assessment & Mitigation Training
- Fraud Risk Training
- AML Training
- Board Training (Short Duration)
- ISO 31000 Training
- Business Continuity Training
- Information Security Awareness Training
- Third Party Risk Management Training
- Women Safety Training
- Business Ethics Master Class
- Cyber Security Training
- Anti Bribery/ Corruption Training
- DCPP (Data Privacy Certificate)
- Privacy Awareness Training
- Operational/ Credit Risk Training



#### **GRC Recruitment**

- Independent Director For Corporates
- Virtual Risk Manager
- Full Time Risk / Internal Audit Professionals
- Part Time Risk / Internal Audit Professionals Full-Time



Risk · Pro



You need to find a balance between RISK and REWARD

### Information Security Services - How Riskpro can help

- Review current practices against ISO 27001 requirements
- Establish frameworks to address Information Security
- Align to Best practices

Information Security Assessment



•Carry out a self assessment review of your ISMS implementation against the requirement and issue a review / certification report

ISMS Implementation Consulting



- We can provide CISO on a retainership basis to support your information security needs for a few days to a few weeks per month
- Recruit a full time CISO if needed

CISO Retainership



Riskpro India Network has carried out several ISMS implementations for mid to large organisations and references can be provided upon request.

Our approach to ISMS implementation support is to work towards an integrated system to align with ISO 27001, GDPR, HIPAA, SSAE and more. This helps you to maintain a single framework.

### **ISMS** Approach

Understanding Organization, Business
Objectives

Analyzing business processes, interfaces and dependencies

Performing Risk Assessment

Development of Polices, procedures and standards

Identifying controls and implementation of controls

Identifying the control metrics

Management Reporting and Review

Internal Audits and Control Measurement

### **ISMS Scope Summary**

- Conducting Gap analysis per the standard.
- Help Imparting ISO 27001 awareness training.
- Understanding the organization activities, stake holders and requirements of ISMS
- Support in conducting comprehensive risk assessment to identify risks and impacts.
- Support in analysis and identification of the Risk treatment\_measures.
- Support in preparation of ISMS policies, procedures and formats.
- Support in integrating ISMS with existing Integrated Management Systems.
- Support in Implementation of ISMS.
- Support in conducting internal audit.
- Support in conducting MRM.
- ✓ Support in achieve readiness for ISO 27001:2013 certification

### **Indicative Flow for ISO 27001:2013**

Sr No.	Activities
Α	Analyze
<b>A.1</b>	Project initiation meeting. Scoping of ISMS. Define the scope and boundaries of ISMS.
<b>A.2</b>	Conduct gap assessment against ISO 27001:2013
A.2	Drafting GAP assessment report and presenting it to top management
В	Develop
B.1	Identify internal & external context related issues with top management. SWOT analysis.
B.2	Identify needs and expectations of all interested parties (Regulator, Customers, Employees/ HODs/process owners etc)
<b>B.3</b>	Draft ISMS policy and objectives (organizational level)
B.4	Creation of procedure and methodology for Risk management (procedure, templates for RA, RTP)
B.5	Prepare SOA (with the help of 27002)
<b>B.6</b>	Conducting the ISMS risk assessment
B.7	Creating Risk assessment report

<b>D</b> 0	Identification of appropriate controls/ actions
B.8	for minimizing the risks
	Actions on selected controls-Creating applicable
<b>B.9</b>	procedures, policies and formats for the controls
	identified
B.10	Guidance on creating Work Instructions,
<b>D</b> .10	Operational Formats
B.11	Management of documented information
<b>D</b> .11	(documented information control procedure)
	Draft ISMS procedure. (like internal audit, MRM,
<b>B.12</b>	corrective action, communication, legal etc
	procedures)
	Draft templates for ISMS control effectiveness
<b>B.13</b>	measurement dashboards containing performance
	metric of ISMS related control objectives
B.14	Draft compliance register (capture statutory,
D. 14	regulatory and contractual requirements)
<b>B.15</b>	Draft ISMS Manual/Handbook
B.16	Creating Training and Awareness material for
<b>D.</b> 10	established ISMS for all employees.
B.17	Seek approval on created ISMS documentation
D. 17	from top management

### Indicative Flow ISO27001:2013 – cont'd

C	Deploy
C.1	Conducting the Awareness training for key people or
<b>C.</b> 1	business heads or functions heads or department heads.
	Coordinating with various Departments or Functions
<b>C.2</b>	Heads for guidance on dashboard records and
	documentation implementation
<b>C.3</b>	Awareness to all staff (may be by creating CBT and
<b>C.3</b>	then Quiz or classroom session)
	Coordinating with various Departments or Functions
<b>C.4</b>	Heads for guidance on completion of tasks and
	activities listed under Implementation Plan.
<b>C.5</b>	Conducting the Review of ISMS

D	Review
D.1	Internal Audit Plan and Schedule preparation
<b>D.2</b>	Conducting Internal Audit
D.3	Preparation of Audit Report
D.4	Sharing and discussing the Audit Report along with NC's with relevant Auditees and helping them to address NCs
D.5	Collecting the Corrections and Corrective Actions from Auditees. Ensuring the Corrections are completed and NC's are closed.
<b>D.6</b>	Preparation for Management Review Meeting
D.7	Conduct Management Review Meeting with Top Management.

### Deliverables for ISO 27001:2013 and ISO 9001:2015

#### Deliverables ISO 27001:2013

- Gap assessment report against ISO 27001:2013 requirements
- ✓ Information security risk assessment
- ✓ Statement of applicability of ISO 27001:2013 controls
- ✓ Policies and procedures to comply with ISO 27001:2013
- ✓ Implementation support (Training, query resolution, review of artefacts)
- ✓ Internal audit audit reports as per ISO 27001:2013 requirements
- ✓ Management review presentation / inputs of meeting as per ISO 27001:2013 requirements
- Support for external audit (co-ordination with auditors, support for providing required documents / evidences to auditors)

#### Deliverables ISO 9001:2015

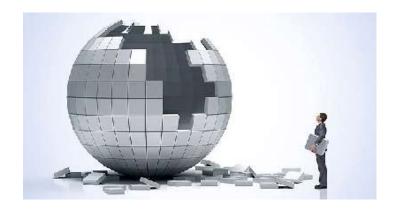
- ✓ Gap assessment report against ISO 9001:2015 requirements
- ✓ Policies and procedures to comply with ISO 9001:2015
- Implementation support (Training, query resolution, review of artefacts)
- ✓ Internal audit audit reports as per ISO 9001:2015 requirements
- Management review presentation / inputs of meeting as per ISO 9001:2015 requirements
- Support for external audit (co-ordination with auditors, support for providing required documents / evidences to auditors)

### Indicative Timelines for ISO 27001:2013 and ISO 9001:2015

Activity	Approximate Timelines
Kick-off meeting	1 Day
Conduct gap assessment for ISO 27001 and ISO 9001	1 week
Conduct risk assessment and prepare SoA (Statement of applicability) as per ISO 27001 requirements	2 Days
Develop QMS and ISMS policy and objectives as well as other procedures to comply with ISO 27001 and ISO 9001. Approval from Finonyx team on policies and procedure	2 weeks
Support for implementation (Training, Query resolution, review of artefacts produced)	2 weeks
Plan and conduct internal audits based on ISO 27001 and ISO 9001 requirements	1 week
Support for management review (consolidating inputs and preparation of presentation / MoM) based on agenda mandated by ISO	1 Day
Support for external audit	2-3 Days

### Internal Audit as per ISO 27001 Framework – Comprehensive Quarterly Reviews

### **Scope and Engagement**



- Quarterly audit covering the following areas
  - IT operations
  - Network Security
  - Change Management
  - Incident Management
  - Software Development
  - HR
  - Facilities and Admin

### **Execute**



- Quarterly onsite / offline audits
- Web based audit tool is used, that has more than 150+ audit checkpoints
- Complies with ISO27k framework

### **Value Addition**



- Regular follow-up with company to close audit non conformities
- Overall improvement in processes and controls.
- Useful for ISMS Audit, SSAE 18 audits etc.

### **Some of Our Risk Advisory Clients**

747

Manufacturing	Manufacturing	IT Services	Others	NBFC	Insurance
BLUE STAR INFOTECH	ALKEM	III CitiusTech	TRENT A TATA Enterprise	AVANSE FINANCIAL SERVICES	ADITYA BIRLA GROUP
	TCL	Hexaware TECHNOLOGIES	FEDERAL BANK YOUR PERFECT BANKING PARTNER	SMFG IndiaCredit Pragati Ki Nayi Pehchaan	PICICI PRUDENTIAL
TATA  TATA ELECTRONICS	⊕ GIA	marlabs  driving digital agility	allcargo logistics ltd.	میکید MULKIA INVESTMENT	IndiaFirst
TATA STEEL	<b>SS</b> The <b>Seksaria</b> Group	MILES SOFTWARE FINANCIAL SERVICES TECHNOLOGY REDEFINED	FERTILITY & NF CENTRE	لىبدرة المالية Sidra capital	Potenting throat out out the fill duling it national time & GENERAL INSUMANCE Oronno Grand
enel	INDIA	TECHNO BRAIN Empowering Lives	ONSITEGO	Shreet See SRE	RELIANCE NIPPON LIFE INSURANCE
<i>A D</i>					





# XSCENTHR'

# And Many More Clients

### **Some of Our BFSI Clients**

Banking	Banking	Insurance	International	International	Others
WELLS FARGO	SvcBANK	AVIVA	OCEANIC	CIBEM Later for the more tanding, little to be discognished a tak-being of them yell from the transfer	Cigna Like
YES BANK	DCB BANK	Universal Sompo General Insurance Co. Ltd.	Skye Bank	لىبدر ö المالية Sidra capital	and the second of the second o
AXIS BANK	Bank of Baroda	IndiaFirst	الم طروت AL MASRAF	excelledia	Fis
Standard Schartered	FEDERAL BANK	Star Union Dai-ichi LIFE INSURANCE	منگییة MULKIA INVESTMENT	<b>₩</b> QNB	
इंडियन बैंक 🔊 Indian Bank 🛕 इसाहाकद सामाना	Saraswat Bank Saraswat Co-operative Bank Ltd.	SBI Life INSURANCE With Us. You're Sure	بنك دبي النجساري Commercial Bank of Dubai		
रोज्यूटर बेंगा आफ इंडिया Central Bank of India	( North East Small Finance Bank	SBIGeneral INSURANCE	البلاغي المراسي المراسي Banque Saudi Fransi	And	Many
GP PARSIK BANK Gopinath Patil Parsik Janata Sahakari Bank Ltd.  Glandard had	janalakshmi	ENA EAST WEST ASSIST TPA	الأول Alawval الاستثمار ۱۸۷۶	More	Clients
बँक ऑफ महाराष्ट्र Bank of Maharashtra क कुट्टेंव एक बैंक	ING 🍛	PRUDENTIAL	المنافعة الوطني العساني National Bank of Oman		

### **Some of Our IT Advisory Clients**

IT Services	IT Services	Technology	Consulting	Manufacturing	Others	Others
Coforge	<b>○ LAMBDATEST</b>	vsoft ingenuity at work	kbg	wipro)) PARI	© GoKhana	JM FINANCIAL
Innodata.	HSV.Digital	<b>Extravel technology exports</b>	SNIPP!	AMNEX	OXANE -PARTNERS-	DCB BANK
Diyar United Company PARTNERS IN TECHNOLOGY	SOLIX*	Clistomer Value Maximization	BARRIER BREAK creating a limitiose futuru	# Biofourmis	affinity	<b>♦</b> FinBox
d darwinbox	intelftech	SoftSol Toking IT to the next level	ELP ECONOMIC LAWS PRACTICE AMPOCATES & SOLICITORS	AMI ORGANICS LIMITED	MAICA-AMIT	
Sakon	First Advantage	MY CLASSBOARD	SISA	<b>\$</b>	Marketing Channel for Business	
emudhra	Your Payments Partner	intelliswift	PIERIAN SERVICES			\D\/
MindTickle.	regalix	<> resiling	protiviti* Global Business Consulting	And Many More Clients		
CleverTap	<u>h</u> ackerearth	XOPA;	🔀 Lakeba	wore Chemis		

### **Some of Our SSAE Clients**

IT Services	IT Services	IT Services	IT Services	IT Services	IT Services	IT Services
Cognizant	DATAMATICS® Data to Intelligence	MOURITECH"	datametica	MindTickle.	A3logics IT Consulting & Development Services	™M⊿STECH INFOTRELLIS
CISCO	Mastek •	majesco	™MASTECH DIGITAL	Your Payments Partner	K kastech	ADAEQUARE
CES	QUALITEST	MILS SOFTWARE FINANCIAL SERVICES TECHNOLOGY REDERINED	eNoah  Your Trusted Partner	Crosselling From Kerry Augh	NUWARE	cloud
Persistent	<b>Insider</b>	NOUS INFOSYSTEMS	ive	accelαlpha	R LAMBDATEST	ATLAS SYSTEMS
RICOH imagine. change.	Mu Sigma	Diyar United Company PARTNERS IN TECHNOLOGY	Claysys	CleverTap	annexmed	III CitiusTech
CYIENT	Cigniti	Emtec.  Bushess & Technology Empowered	coditas	MAXVAL	<b>≯</b> RWS	SOLIX* Ergossering the Data-dilven Enlegance
STARTEK	integreon	We believe to colutions, Resistants inflores.	≺lentra	OptiSol Solutions   Services	DROISYS	DISQO
Dustness-friendly Solutions	BRISTLECONE	BrowserStack	emudhra	merilytics	<b>O</b> ATTHENT	NextSphere lactrologies

### **Some of Our SSAE Clients**

Software	Software	Consulting	Others	Others
<b>#</b> quanliphi	eka Smart Commodity Management	KPMG	HEALTHCARE SERVICES	Paramount Health New First or gent Annals
Robosoft Technologies* EMOTION ENGINEERING & DESIGN	SEQURETEK	Grant Thornton	" SBI	ECLAT HEALTH SOLUTIONS
e-Zest	<> resiling	protiviti*  Global Business Consulting	OXANE -PARTHERS	<b>₩ МАНАТНІ</b>
SmartStream	<b>K©Vai</b> .co	<b>yivetic</b> GROUP	niver	Equalize RCM
Ofinicity"	Ozonetel	Aparajitha  Your Compilance Partner	Coca Cola COMPANY	And
IRIS	*SoftSol Taking to the next level	KARVYIII	RuleZero	Many
In 21T Technologies	SNOVASYS We Love To Help You Succeed	PIERIAN SERVICES	<b>∷</b> Biofourmis	More
PALADION HIGH SPEED CYBER DEFENSE	VSOft Ingenuity at work	TekEssence  Doing IT Right	SUDRANIA	Clients

### **Some of Our GDPR Clients**

IT Services	IT Services	Consulting	Manufacturing	Software	Others
Prodapt,	hurixdigital	CogniSaaS	TOSHIBA	e-Zest	معهد الإمارات للسياقة Emirates Driving Institute
Mu Sigma	d darwinbox	PIERIAN SERVICES	KRBLLimited	RateGain	
NSE NSEIT	<b>ENAV</b>	# aranca	MANLIFT 000	OMNEX	affinity
Cigniti	BrowserStack	Pelatis Rigas	Portescap	inFeedo	MachBizz Maria Ray Carent and Datases
MPS	CleverTap	CONFIANCE			
EMIDS	S GAMES 24	BARRIER BREAK creating a limit less future		And Mai	<b>1</b> V
Advent <b>Modal</b>	Sakon			Iore Clie	
SG Analytics	SILVERLINK TECHNOLOGIES AN IT SERVICE PROVIDER				

### **Some of Our HIPAA Clients**

IT Services	IT Services	IT Services	Software	Others
Hexaware TECHNOLOGIES	emudhra Trust Delivered	Ace	VISTAAR*	GLOCAL  MODELLOCAL  MODELLOCAL
ienergizer	MindTickle*	ALQIMI	sync	Equalize RCM
ıncedo	concerto	∳iMerit	voiceoc	PURPLE
✓ INNOVACCER	intelltech	🔐 appypie	CloudCodes	<b>□</b> GoSource
SUMA	<b>Scogito</b>	Genome Smart*		
CleverTap	mirketa Think .Build .Transform	GL BAL SOFTWARE TECHNOLOGIES	And	Many
flowz	Centaur Billing		More	Clients
Sakon	actionable science			

### Some of Our ISO 27001 Clients

IT Services	IT Services	Software	Consulting	Others
actionable science	Sakon	agiledge 🌼	protiviti <sup>®</sup> Global Business Consulting	Zapdesk
<b>Ravira</b>	propel	🐎 airgram	PIERIAN SERVICES	Allianz (11)
built.io®	techbl:::cks	Funnel <b>Story</b>	SNIPP!	<b>♦</b> FinBox
content RAVEN	K kastech	HappSales <sup>®</sup>	LOYALTY JUGGERNAUT  REIMAGINE LOYALTY —	inventia
ESOP Direct	concerto	W-H		
regalix	SYNERGYCONNECT Employed for Humanity History  Brights Franchisen	Pixaera	And	Many
TUPSIDE <b>LMS</b>	synersys	Sales Panda°	More	Clients
(krowd	vantageo	THE AUTOMATION OFFICE		

### **Some of Our Technology Clients**

Manufacturing	Manufacturing	Insurance	Others	NBFC	Pharma	Consulting
TATA STEEL	SULA	8 BAJAJ Allianz (8)	Equator Small Finance Black	SHRIRAM Transport Finence Danspary Limited A WINNING RELATIONSHIP	<i>Mankind⊪</i>	○ Grant Thornton
Arcelor/Mittal	ASK AUTOMOTIVE (P) LTD.	Universal Sompo General Insurance Co. Ltd.	Narayana Health	الهيئة الملكية لمحافظة العلا Royal Commission for AlUla	(i) Indoce	O.P. Bagla & Co. LLP Chartered Accountants
Ashok Leyland	LT Foods	AVIVA	MPS	Muthoot Homefin	Akums	Walker Chandiok & Co LLP
<b>vinmar</b>	Kisan	الوطنية للتأوين على المياة والتقالية التأوين المياة NATIONAL LIFE & GENERAL INSURANCE Stewart Stew	eNoah  Noor Trusted Partner	JAGARAN		
RALLIS INDIA LIMITED A TATA Enterprise	STERLING & WILSON	OICICI PRUDENTIAL	adani Ports and Logistics			
PG P	UNITED SPIRITS			And Many		
PARRYS	WALCHANDWAGAR INDUSTRIES LTD.			More Clients		
YASH papers						

### **Some of Our Training Clients**

		<del></del>				
IT Services	Consulting	Manufacturing	NBFC	Insurance	Others	Public Sector (PSE)
Honeywell	arcion	पावरग्रिड POWERGRID	MANAPPURAM FINANCE LIMITED  Moke Life Easy	AXA	Standard Schartered	एनदीपीसी NTPC
R1	Walker Chandiok & Co LLP	BAYER E R	SMFG IndiaCredit Pragati Ki Nayi Pehchaan	SBI Life  INSURANCE With Us. You're Sure	O C W E N	DST
YOKOGAWA	EVALUESERVE ROMENTA DE NINDE PARCHINE	Polyplastics	Nippon incla Funds Wealth sets you free	Edelweiss The Land of the Control of	<b>≯</b> JLT	METAL & ENERGY Trade with Trust
HCL	firstsource Stay Ahead	WIND NDDB DAIRY	<b>IIFL</b> FINANCE	Cigna K	ACCEL	
regalix	Mynd Solutions	TITAN COM PANY	DSP			
A3logics IT Consulting & Development Services	O.P. Bagla & Co. LLP Chartered Accountants			And Many		
ANTWORKS Reimagine - Rethink - Recreate	○ Grant Thornton			More Clients		

### **Some of Our Recruitment Clients**

IT Services	Others	Consulting	Manufacturing	Banking	Insurance	Software
Coforge	meesho	KPMG	EUREKA FORBES	AXIS BANK	® LALAE ®	Økapture
Datagain'	<b>EUNZO</b>	protiviti® Global Business Consulting	AMI ORGANICS LIMITED	Saraswat Bank Saraswat Co-operative Bank Ltd.	PICICI PRIDENTIAL TO	<b>&amp;</b> Sales Panda <sup>®</sup>
TechnipFMC	POSHMARK	BDO	wipro PARI	SURYODAY A BANK OF SMILES	PRINCE OF THE STREET OF T	
RAVEN APPS	WNS	EY Building a better anothing world	Crompto- Sreazek	UNITY Small Pieranse Bank		
A ATHENA"	<b>♦</b> FinBox	PelatisRigas  RepryRegals-Reprise Cutorient				
III CitiusTech	COMMUNIQUE			And	Many	
intelltech	HCL			More	Clients	
vxceed	CONSTRUCT					



New decisions bring new risks and to manage them better, connect with one of our team members!

Risk · Pro



### **Manoj Jain**

#### **Founder and Director**

- CA, CPA, MBA-Finance (USA), FRM (GARP)
- Over 10 years international experience - 6 years in Bahrain and 4 years USA
- More than 27 years exp in risk consulting management and internal audits, Specialization in Operational Risk, Basel II, Sox and Control design
- Worked for Ernst & Young (Bahrain), Investment Company Arab (Bahrain), Navigant Consulting(USA), Kotak Mahindra Bank (India) and Credit Suisse(India)
- Sox Compliance project for Fannie Mae, USA (\$900+ Billion Mortgage Company)



**Laxmikant Gupta** 

#### Principal

- CA, CMA, CS, FRM,
- Laxmikant has over 25 years experience in risk management, governance, compliance, ethics, control, internal audit.
- His experience has been across areas like operational risk, market risk, settlement risk in addition to SEBI Compliances, internal compliances, governance norms based on new Companies Act, new Insider Trading laws etc.
- He headed risk management / compliance function for Franklin Templeton, Tata TD Waterhouse, Birla Sun Life, ICICI Venture, NCDEX. He also worked with I-Sec, A F Ferguson & Co.



#### Rita Shewakramani

### **EVP – Internal Audit & Risk Advisory**

- Chartered Accountant, a Certified Internal Auditor (CIA) and a Certified Risk Mgmt Professional (CRMA).
- She has more than 25 years of post qualification experience into Internal Audits, Risk, Application Reviews, Operations / Process/ Internal control reviews. Fraud Investigations.
- She has worked with consulting firms like Baker Tilly Singhi Consultants Pvt Ltd, Price Waterhouse Coopers, EY, Aneja Associates and Corporates like Reliance (Internet Exchange), GE Capital, CMS Computers etc.



**Ashok K Agarwal** 

#### **EVP – IT Risk Advisory**

- CISA| CRISC| ISO 27001 | ISO 20000 |CAMS|CENTS|CAIIB|Cyber, Cloud, and Cyber Security Professional
- Over 25 years of experience in the multiple Banking (Punjab National Bank- Delhi, AXIS Bank - Mumbai, DCB Bank - Mumbai and Bank Dhofar - Muscat - Oman) in IT leadership roles like eg. IT Management, Information Security Management and IT Audit.
- Since last 5 years, He is heading IT Risk Advisory and consulting practises across multiple sectors BFSI, Insurance, and SEBI regulated entities. FinTech's Cyber Security, Digital security consulting, Network and Technology Infrastructure of Data Centre, IT other Governance, and regulatory compliances
- He earned a Master in Economics. He is technocrat with rich banker turned experience.

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Laxmikant.gupta@riskpro.ir 98330 00436











ashok.agarwal@riskpro.in 96199 22010









#### **Vivek Dixit**

# **EVP – Risk Management** and Governance Advisory

- B.Com, DFM. Numerous Work related and Leadership trainings in Corporate World.
- Experienced, multi faceted, techno functional corporate professional with 38 years in the industry. viz. Dell, Microsoft, IBM, Atos (Origin), Ingersoll-Rand, Siemens. Global judge for certification of YB and GB Six Sigma projects in Dell.
- Associated with PMI significantly for past decade.
- Invited as a Speaker for various events.
- Passionate Graphologist, & conduct Logo Analysis.
- Career Mentor & Advisor.



**Vikas Gupta** 

### **EVP - Risk Advisory**

- CFA, MBA Finance (USA)
- Over 28 years experience in global Financial Services Industry.
- More than 15 years international experience in the USA, UK and APAC.
- Deep expertise setting and managing global deliveries in credit, market and operational risk, regulatory compliance, and corporate governance.
- Has held several executive positions with MNC banks including Chief Risk Officer (Wells Fargo), Chief Compliance Officer (Credit Suisse) and Head of Risk (RBS).
- Eminent industry connected leader with recognitions and credibility



Venkatkrishnan G

# **EVP-Internal Audit & Risk Advisory**

- Chartered Accountant, CISA. ISO 27001 Certified
- More than 25 plus years of experience in the space of Internal audits, process and controls, IT audits, operations and finance
- In the past Venkat has worked with audit and consulting firms like Price Waterhouse, Deloitte and GT. In addition he has also worked in multinational corporates like IBM, Shell, SCB.
- He also did a stint in Muscat, Oman and has worked in various engagements in the USA, Far East and UK.



Saswata Banerjee

#### **EVP – Legal Compliance**

- B.Com LLB, FCMA, DISA, MBA Fin, ISO27001 and ISO45001 certified
- Experienced of 26 years in the Internal Audit & Business Consulting
- Worked in Legal Compliance Gap Analysis & Audits, Costing & Profitability, Process & Controls Internal audit of a large number of large companies as well as SMEs.
- Exposure in Retail, Pharma, Chemicals, Textiles, Real Estate & Infrastructure, Educational Institutions, Shipping & Logistics and Petrochemical Industries.

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saswata.banerjee@riskpro.in 9821084313







**Nitesh Shanbhag** 

### Senior Advisor – Strategy & New Initiatives

- PGDM IIMA, B.E (Mech) NITK, Financial Risk Manager (FRM -GARP)
- Over 25 years experience in Risk Assessment and Management covering credit risk analysis and credit rating, credit underwriting for corporate and equipment finance, impact and social financing, and Enterprise Risk
- Prior work engagements with National Skill Development Corporation, a PPP in the skilling sector; GE Capital Services India, and credit rating agency ICRA Limited.



Sucheta V. Upendra

## Senior Vice President – Information Security

- MCA, CISA CRISC, CDPSE
- PCI-DSS QSA
- ISO 27001 and ISO 27701 LA
- DCPLA (DSCI Certified Privacy Lead Assessor)
- GRC professional with over 25 years of experience in the IT sector.
- Experience in consultancy and audits for various information security and data privacy standards.
- Worked for leading firms such as TCS, Siemens, and Cerner.



#### Ritu Thakkar

### **SVP – Information Security**

- Around 20+ years of experience as an Implementer, Lead, Executor, Trainer, Information Security Auditor and IT Compliance in ISMS.
- Experience in SOC 2, ISAE 3402, ERM, Business Continuity, ITIL, GDPR, HIPAA, Enterprise Risk Management, Cloud Security, ITGC, Quality Management, Lean, QAI, Process Implementation, IT Operations, CMMI, Agile and Software Development Lifecycle (SDLC), Threat Intelligence, Data Protection and Compliance Management.
- Certified LA/LI ISO/IEC 27001, Certified ISO/IEC 27001 Information Security Associate, ISO/IEC 20000 IT Service Management Associate, Certified Project Management Essentials, Certified Foundations of Business and Entrepreneurship, Certified Lead Auditor ISO/IEC 9001, 14001, Certified ITIL, CEH, Certified Six Sigma White Belt, Green Belt, Black Belt.
- Company worked Riskpro India, Vakrangee Limited, Network Solutions – An IBM Company, CMS Computers, Ministry of Defence (MoD).
- Winner of Datacenter Summit & Awards under the category Risk Management, Bronze in the 13th Annual IT World Award in Women IT Category, World Award Information Security Awareness Program Excellence Awards (MediaPro), Info Sec Speaker for CISO Platform. Judge for Golden Bridge Awards, DG Award.



Natarajan Mohan

#### **SVP** – Risk Advisory

- ACMA, CISA, DCPLA, ISO 27001:2013 LA, PMP, CSM
- Over 27+ Years of varied experience in Internal Audit, Risk Management, Regulatory and Compliance audit, Cost Management, Project Management and PMO.
- Expertise in SSAE 21 (SOC) audits and consulting, ITGC, ISMS audits, internal audit, privacy & security risk assessment.
- Worked for leading companies like Sundaram Finance, Satyam Computers, Cognizant, Hexaware & Tech Mahindra.

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#### **Nehal Mapara**

### **SVP – Information Security**

- Chartered Accountant, ISO 27001 Lead Auditor
- 22 years of post qualification experience in internal audits, risk assessments, process enhancements, ISO 9001 & 27001 audits, compliances, commercial reviews, GDPR implementation and audits and SOC Audits
- Established Commercial function in **Hutchison Global Services & Compliance** function for Datamatics Business Solutions Ltd
- Worked with consulting firms like Axis Risk Consulting, Aneja Associates and corporates like Hutchison Global Services, Jet Airways, **Datamatics** Business Solutions Ltd.



#### **Poonam Khandwani**

#### **VP – Risk Advisory**

- FCA.LLB. Certified Forensic Concurrent auditor ,with over 17 years of professional experience.
- Experience in Internal Audit, Statutory Audit, Risk based audits , ICFR reviews, SOX compliances, audits ,ERM engagements & Fraud risk assessment etc.
- Performed risk based internal audits across multiple industry segments namely, Irrigation, Cement, Chemical, Textile & Garment , Insurance, Banking industry, Telecom, FMCG,, Software development, BPO etc.



**Pragya Pranati** 

### **VP-Risk Advisory**

- Chartered Accountant, ISA (ICAI) MBA (Finance), ISO 27001, M.Com,
- She has over 16 years of experience with a mix of both corporate and consultancy.
- She has worked previously with Barclays (Pune) Flextronics Technologies P. Ltd (Chennai/Pune), Exl Services P Ltd. (Noida)
- Her professional experience includes, ITGC compliance and review, SOP, internal audit, Application access management and Role management, Implementation of GRC Tool which includes preparation of functional specification, conducting UAT, training to WW stake holders and Go-live management



#### **Anita Balasubramanian**

#### **VP – IT Risk Advisory**

- ISACA CISM Trained, Certified ISO 27001 Lead auditor, ITIL V3 Expert, Prince 2 Practitioner, Certified Internal Auditor for ISO 9001,20000-1 standards
- She has around 16 plus years of in experience ISO 27001. Governance, Risk and Compliance, Internal Audits, Risk Management, Process/ Internal control reviews, Data Privacy and GDPR.
- She has worked with IBM, Oracle, Microland, Mindtree, Thirdware, Computer Science Corporation, Ramboll Etc.

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### **Niveditha Hampiholi**

### **AVP - Risk and Compliance**

- MBA (Finance) | DSCI Certified Privacy Lead Assessor (DCPLA) ISO 27001:2022 Lead Auditor
- She has over 18+ years experience in Risk Management and Internal Audits (mainly in Banking and Financial Sector).
- also has specialization in operational risk, Sarbanes Oxley and Internal Controls.
- prior experience with has Northern Trust, Ocwen Financial Services & ADC Telecommunications Inc.



**Shalini Gundu** 

#### AVP – IT Risk Advisory

- Bachelor of Accounting Finance (B.A.F.)
- Certified Lead auditor for ISO 27001: 2022 and ISO 9001:2008. Certified Six Sigma Green Belt and ITIL Professional.
- She has more than 13 years of experience in External Audit, consulting and implementation for SSAE 21 and ISAE 3402. Internal audit for SSAE 16 and 18, ISAE 3402, ISMS, Integrated audits, QMS and CMMI.
- She has worked with firms like Softtek India Pvt Ltd, eClerx India Pvt Ltd, WNS Global Services, Intellinet Global services etc



Vinaya Punekar

### **Information Security** Consultant

- Chartered Accountant and Certified Information Systems Auditor (CISA), ISO 27001 – LA, CCSK
- Vinaya has 23+ years of post qualification experience in
- SOC1, SOC2 audit/ readiness assessments/implementation
- ISMS implementation, audits
- ITGC/ ITSoX / IFC testing, Internal Audit
- She has worked with Big4 and other consulting forms.
- She has also worked across industries such as Software, Manufacturing, Power and Telecommunications.



#### Sonakshi Sinha

### **Senior Manager – Data Privacy and Legal**

- professional with years of experience in the domain of data privacy, risk advisory and legal advisory.
- She has experience in IPR, Data Privacy Implementation and Audits, Data Privacy **Impact** Assessment, Process reviews, Data Operations/ Protection Training and awareness for various data privacy regulation around the globe.
- She has handled multiple roles over the years.

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