

2024

**Risk · Pro**

Connecting Risk Professionals

# RISKPRO INDIA

## Risk Management Advisory & Consulting



Risk Advisory IT Risk Advisory GRC Technology Training Recruitment

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**950+**  
**Happy Clients**

# Riskpro's Overview



**12+ years of business**



**950+ Clients**



**7+ Cities**



**90+ Team Members**



**10+ Strategic Partners**



**500+ Cities**

**Associate Firm Representation**



**Our growth story is continuous.**

# Riskpro Clients & Partners Presence



# Our Services



## Risk Advisory

- Risk Based Internal Audits
- Internal Financial Controls
- Standard Operation Procedures (SOPs)
- Legal Compliance Audits
- Environment Social Governance (ESG)
- Vendor Risk Management (TPRM)
- Fraud and Forensics
- Fraud Risk Framework
- SOX Compliance
- Internal Control Reviews
- Policy and Process Reviews
- Process Improvements
- Third Party Due Diligence
- Loan File Hind sighting
- Corporate Governance Advisory
- Branch Audits
- Integrity And Ethics
- Prevention of Insider Trading



## IT Advisory

- GDPR
- SSAE(SOC Audits)
- HIPAA Compliances
- Cyber Security Advisory
- Penetration Testing VA/PT
- Business Continuity/Disaster Recovery
- Firewall Audits
- PCI DSS Certification
- ISO 27001
- ISO 22301 (Business Continuity)
- IS Audit/ ITGC
- IT Service Management
- IT Assurance & Governance
- Personal Data Protection (PDP)
- CMMC
- 21 CFR Part 11
- NIST Compliance
- Microsoft SSPA



## Enterprise Risk

- Enterprise Risk Management (ERM)
- Specific Risk Assessments (Reputation, Compliance etc)
- Enterprise Risk Assessments
- KRI Library
- Risk Library
- Operational Risk Management
- Business Strategic Risks
- ERM Benchmarking
- ERM Framework Implementation
- Risk Management Policy
- Social Media Risk Management
- Market Risk
- Credit Risk
- Liquidity Risk
- ICAAP
- End User Computing (EUC) Risk



## GRC Technology

- Third Party Risk (TPRM) Software
- Internal Audit Software
- Risk Management Software
- Prevention of Insider Training Compliance Software
- Legal Compliance management Software
- Board & Secretarial Compliance Software
- Labour Compliance Automation Software
- Contract Management Software
- Litigation Management Software
- Document Management Software
- Whistleblowing Software
- Cyber Security Software
- Automating Accounts Function Software
- Treasury Management Software
- Data Analytics Tool



## GRC Trainings

- E Learnings
- ERM Training
- Risk Assessment & Mitigation Training
- Fraud Risk Training
- AML Training
- Board Training (Short Duration)
- ISO 31000 Training
- Business Continuity Training
- Information Security Awareness Training
- Third Party Risk Management Training
- Women Safety Training
- Business Ethics Master Class
- Cyber Security Training
- Anti Bribery/ Corruption Training
- DCPD (Data Privacy Certificate)
- Privacy Awareness Training
- Operational/ Credit Risk Training



## GRC Recruitment

- Independent Director For Corporates
- Virtual Risk Manager
- Full Time Risk / Internal Audit Professionals
- Part Time Risk / Internal Audit Professionals Full-Time



**Risk • Pro**



**You need to find a balance between RISK and REWARD**



# Our Service Verticals



**Risk Advisory**

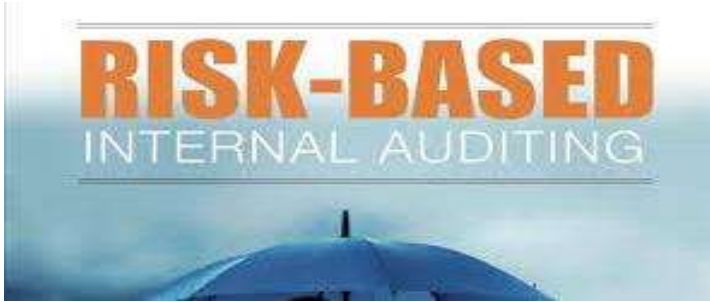
**IT Advisory**

**Technology**

**Trainings**

**Recruitment**

## Risk Based Internal Audit



- Riskpro provides flexible internal audit services on out-sourced basis or as an integral part of your organization or both.
- Our services are top class and provided by knowledgeable professionals with deep industry expertise.
- Riskpro has a team of experienced internal auditors in major cities across India.



## Legal Compliance Audit



- Regulations and legal / compliance burden is affecting organizations of all sizes and across industry sectors.
- Riskpro, along with its member firm, has developed an effective and comprehensive Legal and Compliance Audit (LCA) procedure which ensures that your organization is periodically reviewed for non-compliance and process gaps.



## Enterprise Risk Management



- Riskpro has strong domain expertise when it comes to Enterprise Risk Management ranging from Financial Services to specialized manufacturing businesses.
- We have unique ERM Methodologies and develop ERM Frameworks using COSO ERM and ISO 31000 helping with Risk Assessments and building key risk metrics ensuring a successful project.
- We also help with the SEBI LODR's Risk Management Compliance as per the recent regulations of 5<sup>th</sup> May 2021.



## Internal Financial Controls (IFC)



- Internal Controls are the backbone of any organization.
- Riskpro helps to develop a framework for assessing and reporting on the operating effectiveness of the internal controls, Gap Analysis and adequacy of internal control documentation.
- We help with all your SOP and flowcharts in place and a mechanism to test these controls.



## Operational Risk Management



- Riskpro can review risk management structures & infrastructure
- We develop operational risk policies, frameworks, terms of reference and implementation project plans.
- Development of Risk Control Self Assessment (RCSA) methodology and Risk Register
- Review and enhancement of Loss and Event Reporting framework
- Comparison done against best practices of risk modelling, strategic objectives and regulations.



## Third Party Risk Management (Due Diligence/ Vendor Audits)



- RiskPro provides end to end managed services for third party/ vendor risk management, which includes:
- Design and implementation of TPRM policy and framework
- Standardized and customizable checklists for regulatory compliance
- Advisory and support for vendor due diligence and onboarding
- Vendor risk assessment, categorization and KRIs
- Vendor contract review and lifecycle management
- Vendor audit as a service across risk type like data privacy, IT risk, cybersecurity, physical controls and financial risks.
- Integrated risk assessment, measurement and reporting using our TPRM platform





## Loan File Hind Sighting



- Loan File Hind Sighting requires a detailed verification of and reporting on loan files of various products as per the laid down policies.
- Riskpro develops a detailed product wise hind sighting checklist and identifies the criticality and the owner of the check point by performing monthly audit of files disbursed to ensure compliance with policies and reports on deviations and action plan.



## Branch Audits



- Riskpro has done PAN India checklist-based audits for the depots, branches of NBFC, Insurance companies etc. through our associate firm.
- We design a standard checklist which reflects regulatory, conduct issues and operational compliances.
- We ensure:
  - Compliance to the checklist defined and the laid down policies and procedures.
  - Report the process gaps, operating inefficiencies, non compliances with applicable laws, handling of conduct issues etc. and issue final report with recommendations.



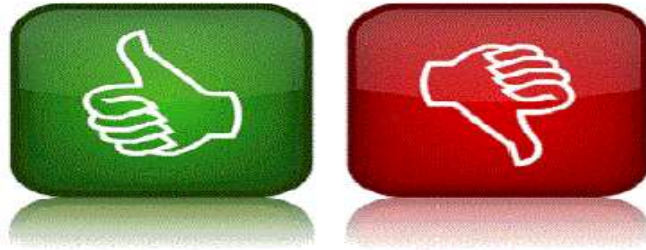
## Fraud and Forensic



- Riskpro helps companies to perform a gap analysis and undertake a comprehensive fraud risk assessment.
- Our forensic accountants delve deep into the facts to provide an independent, thorough, and insightful report of the situation and help with fraud investigation and unearth important aspects of the fraud.
- Other Fraud related services include Fraud Detection, Anti-Fraud Measures and Background checks



## Reputation Risk



- Although reputation risk assessment is typically important for valuable brands and large entities, managing reputation is equally important for small and mid sized organisation
- Riskpro has a good understanding of how to effectively implement a reputation risk management framework
- We can help companies in the survival game. Our risk event database relating to reputation risk can help companies to build components to identify, assess and manage reputation risk



## Integrity and Ethics



- Riskpro has expertise in developing a comprehensive Anti-Bribery & Corruption (ABC) compliance program that would ringfence your organization from the risk of corruption, fraud and other ethical misconduct.
- Our program implementation takes minimal time as well cost effective. It encompasses broadly four elements - Risk assessment & governance; Investigations & enforcement; Training & Communications and Monitoring & Auditing



## Prevention of Insider Trading



- Riskpro has introduced a unique offering for compliance audit which will include:
  - 3-5 days of review/ Health Check or evaluation of the adequacy of internal controls.
  - Review of policies and procedures to determine compliance with the framework.
  - Compliance Report - Third party compliance Report on the findings.
- We assist clients in developing internal policies for complying with the regulations as mandated by the SEBI (Prohibition Of Insider Trading) Amendment Regulation 2018.



## Standard Operating Procedures (SOP)



- Standard operating procedures (**SOPs**) are the documented processes that a company has in place to ensure services and/or products are delivered consistently.
- Riskpro reviews the Internal Control Framework across all SOPs, recommends the control gaps and benchmarks to best practices.
- We also provide Offsite support to enhance existing SOP documentation.



## Social Media Risk Management



- Riskpro India has developed a comprehensive and customized “Social Media Risk Management Policy”.
- The policy defines the roles and responsibilities, Social Media Risk Management process, Social Media guidelines, HR policies relating to social media etc.
- Our policy reports and monitors framework of specific risks relating to Social media.



## Compliance, Governance, Insider Trading Prevention, Anti-Money Laundering, Anti – Bribery



- Riskpro helps in reviewing of existing mechanism and doing gap analysis.
- Drafting Compliance Policies /reviewing Existing Policies
- Support to Compliance and Risk Awareness Program by way of regular training, supplying questions for awareness contests, updating monthly bulletin / literature etc.
- Retainership for providing regular support (1 week per month or 15 days per quarter).
- Training can be provided as per the company requirements from 1-2 hours upto 15-16 hours.





## Market, Credit and Liquidity Risk Management



- Setting Market / Credit Risk Model including stress testing.
- Drafting / reviewing existing Investment / Risk Management / Credit / Market / Liquidity Risk Management Policy.
- Reviewing existing mechanism in line with the regulatory requirements from RBI / SEBI / IRDAI and doing gap analysis.
- Advisory or Implementing gaps in market / credit / liquidity Risk Management system vis-a-vis expectation of the regulators.
- Market Risk Management model for proprietary portfolios as well as for fiduciary folios
- Reviewing existing Expected Credit Loss (ECL) framework on lending book.
- Retainership for providing regular support (1 week per month or 15 days per quarter)
- Training from 1-2 hours to 1 day or in-depth workshop in 4-5 days.



## Environment, Social & Governance (ESG)



- A number of global alliances, standards and benchmarks are integrating around ESG. ESG is absorbing CSR, EU NFRD, ISO, IFRS technical standards for GHG CO<sup>2</sup> equivalent calculations and more.
- Avoid Green Washing and become genuinely ESG compliant, aligning to UN SDG-17 goals, EU CSRD and equally across E, S and G pillars. Good for the planet and good for business. Your customers demand it.
- MRV (Measuring, Reporting & Verification) is the essence of our offering. While geo-political and industry interest vie for standards dominance, at Riskpro we handle markets for North American, EU, Asia-Pacific related to Regulatory or Voluntary disclosure.
- Going further, Riskpro has partnered for a "Just Green Certification" where justice is as important as compliance and a 5-level Green Maturity Model. Doing more for the planet, your customers, vendors and community when you partner with Riskpro.



## Financial Risk Management



- Riskpro can help clients on matters relating to Basel II framework using basic, standard and advanced approaches for Market, Credit and Operational risk.
- We can assess the Pillar II (ICAAP) gaps and help identify vendors and professionals who can assist.
- We can help with Market Risk Framework, Policies and procedures, Current State Assessment, Gap Analysis and can support requirements of Risk Measures Modeling, Metrics, Model Validation, Stress Testing etc





# Our Service Verticals



**Risk Advisory**

**IT Advisory**

**Technology**

**Trainings**

**Recruitment**

## SSAE 21 (SOC 2 Audits)



- Organizations continue to outsource parts of their business to realize potential cost benefits, to alleviate the need for hiring or retaining internal specialists and/or to create more flexibility to realize their business strategy.
- Assurance reports play an important role as a management control. The effective date for SSAE No. 21 is June 15, 2022 which was issued by the (AICPA) in September 2020. The new standard is applicable to all practitioner's reports dated on or after June 15, 2022.
- Riskpro has done more than 100+ SSAE engagements and we have an inhouse CPA to perform these attestations.



## GDPR



- GDPR is a sweeping change. Effective May 2018, if you are not ready, you will not be able to do business, if you hold Personal data of any EU citizen and are not GDPR compliant.
- This may be as simple as an email in a marketing email list. You will not be able to send marketing mailers unless there is explicit consent or a lawful purpose/legitimate business purpose.
- If you are recruiters, you will not be able to forward the CVs, download and save candidate data without their consent.



## Digital Personal Data Protection Act (DPDPA)



- Riskpro has a strong team of experienced and certified data privacy and data protection professionals who have thorough industry and technical knowledge and can assist you with:
  - Highlighting gaps in your framework/ policies/ processes and suggest an effective mitigation plan.
  - Define/review procedures as identified under the Act.
  - Ensure the controls/ framework defined are adequate and in accordance with DPDP Act requirements.
  - Define and/or implement the Act compliant data protection governance framework.



## ISO 27001



- ISO 27001 specifies a management system that is intended to bring information security under management control and gives specific requirements.
- Riskpro helps to review current practices against ISO 27001 requirements and establish frameworks to address Information Security.
- We carry out a self assessment review of your ISMS implementation against the requirement and issue a review / certification report.



## Cyber Security Advisory



- A company should adopt leading framework to evaluate their preparedness against cyber risks.
- Riskpro helps companies to develop a board approved Cyber Security Policy and Cyber Crisis Management Policy.
- We can develop Key Risk Indicators to track risks and preparedness.
- We conduct Periodic cyber risk audits.
- We also provide cyber security services for Urban Cooperative Banks and for other BFSI sectors.



## SOX Compliance

**The stated goal of SOX:**  
*"To protect investors by improving the accuracy and reliability of corporate disclosures."*

- In 2002, the United States Congress passed the Sarbanes-Oxley Act (SOX) to protect shareholders and the general public from accounting errors and fraudulent practices in enterprises, and to improve the accuracy of corporate disclosures.
- An Audit of Internal Control over Financial Reporting performed in conjunction with an audit of financial statements.
- All public companies now must comply with SOX, both on the financial side and on the IT side.





## HIPAA Compliance



- Companies that deal with protected health information (PHI) must have physical, network, and process security measures in place and follow them to ensure **HIPAA Compliance**.
- We perform gap assessment and give a gap report against HIPAA compliance.
- We help with documentation, fixing documentation related gaps.
- We help with security consulting and implementation support and provide HIPAA Compliance Report.



## PCI DSS



- PCI Security Standards Council (PCI SSC) maintains data security standards for the payment card industry under a single framework of Payment Card Industry Data Security Standard (PCI DSS).
- PCI DSS requirements include security management, policies, procedures, network architecture, software design, and other critical protective measures.
- **Riskpro is now listed on PCI DSS website.**
- Riskpro can help with Scope Definition, PCI DSS Gap Assessment, Security Check, Remediation Support, PCI DSS Assessment And Certification, PCI DSS Annual Maintenance and PCI DSS Awareness Training



## IT General Controls (ITGC)

# ITGC

- Riskpro assists organizations in designing ITGC frameworks and provides operating effectiveness assurance through ITGC audits.
- We have dedicated IT audit professionals having experience working with a wide variety of industries of all sizes to mitigate ITGC risks within your IT environment.
- Our ITGC services are tailored to the organizations risk appetite and compliance requirements.





## End User Computing (EUC)



- Riskpro develops EUC/ IPE Framework and Gap Assessment against best practices relating to EUC/IPE using Control policy.
- We help with EUC Risk Assessment by testing of majors EUCs and preparing audit report with results and recommendations.
- We develop critical risk reporting templates for various committees and senior management.



## Business Continuity/ Disaster Recovery



- Riskpro helps with,
  - project initiation and management.
  - risk evaluation & control.
- We develop continuity strategies and help in the disaster stages & process management by developing and implementing The Business Continuity Plan.



## CMMC



- Cyber Security Maturity Model Certification (CMMC) Framework is the latest development in IT Security in USA.
- It provides assurance to the DoD that a DIB contractor can adequately protect CUI. Mandatory for any organization/ company who wants to do business with DoD.
- Riskpro assist companies with expertise and guidance in achieving CMMC. Riskpro can support an organization through implementation to certification and continuous work with security.



## 21 CFR Part 11



- Riskpro helps with the 21 CFR Part 11 requirements for Electronic records and Electronic signatures to the companies related to Life science community and other FDA regulated industries to ensure adequate maintaining of document security and authenticity.
- We can help with the 21 CFR compliances like GAP assessment, Computer System Validation (CSV), Auditing services, Healthcare and Life Science Offerings like HIPAA, ISO 27001, SOC etc, Recruitment, Training & awareness.



## NIST Compliance



- NIST guidance provides the set of standards for recommended security controls for information systems at federal agencies. The NIST guidelines and recommendations will help federal agencies ensure compliance with other regulations, such as HIPAA, FISMA, or SOX.
- We can help with the Gap Assessment against NIST / FISMA Framework, Consulting/ implementation support and Report / Compliance Letter issued by Riskpro.



## Microsoft SSPA



- The Supplier Security and Privacy Assurance (SSPA) is an assessment for Microsoft suppliers/vendors who process their data on their behalf.
- Riskpro provides assistance in consulting as well as responding to the DPR questionnaire.
- Riskpro has an inhouse CPA that performs DPR audits incase you are in high risk profile and independent audits are required.



## Data Analytics



- Data analytics refers to qualitative and quantitative techniques and processes used to enhance productivity and business gain.
- Riskpro offers comprehensive data analytics services to convert historical and real-time, traditional and big data into actionable insights for you to take timely corrective actions if necessary.
- We proactively identify the indicators of frauds and trigger automatic resolution.



## Virtual CISO



- Provide strategic level. guidance on cyber and InfoSec security program.
- Help organizations remain compliant with cyber security standards, policy, regulations, and legislation.
- Provide consolidated and comprehensive view of organization's cyber security posture.
- Guide in developing and implementing security policies and procedures.
- Help in development of an effective cyber security awareness and training program.



## Cybersecurity Audit



- Cybersecurity audits which include assessing compliance and providing a comprehensive assessment of information systems to evaluate compliance and identify gaps in security policy implementation.
- Riskpro offers Cybersecurity audits for second-party audits as well as third-party audits in adherence with industry and global compliance standards.
- Riskpro assists in all areas of Cybersecurity audit including drafting the Audit plan incorporating relevant areas for the organization such as Cloud, Network, Data Security, Network, Facilities, BC-DR, etc.



# Our Services for FinTech Companies

## RBI Compliance on Digital Lending/ Digital Payment Security

We provide consulting and Advisory services on RBI compliance on Digital Lending and Digital Payment Security for Regulated Entities (REs) and Fintech Clients.

## PCI – DSS Consulting & Certification

We provide PCI-DSS consulting and advisory services for scope finalization, develop and implement and assessment & reporting

## CICRA Compliance Audit

We provide consulting/ certification services to be eligible as specified user under Credit Information Companies (Amendment) Regulations, 2021.

## Full Stack Cyber Security Solution for continuous monitoring

The solution provides near to real time continuous risk assessment on cyber security on various Security standards/ NIST framework

## Personal Data Protection (PDP)

We can highlight gaps in your data privacy framework, define or review procedures, ensure framework defined is adequate and in accordance with regulations.

## Data Localizations

We provide System Audit Report (SAR) services to Fintech companies for RBI compliance on data localization

## ISO 27001

We can review current practices against ISO 27001 requirements and establish frameworks to address Information Security

## VA-PT

Our VA-PT services include understanding the IT environment, Scan in-scope applications, domains & IT infrastructure

## Cyber Security Advisory

We can develop board approved Cyber Security Policy and cyber crisis management plan and conduct gap assessment audit on RBI / IRDA regulatory framework.



# Our Service Verticals



**Risk Advisory**

**IT Advisory**

**Technology**

**Trainings**

**Recruitment**

## Internal Audit Software



- Riskpro has partnered with technology companies to provide various software to clients.
- Audit Software provides the following modules as part of the standard offerings:
  - Audit planning
  - Work paper
  - Observations creation
  - Follow up
  - Automated Audit Reporting.



## Risk Management Software



- Riskpro has partnered with technology companies to provide various software to clients.
- Risk Management software provides following features:
  - Workflow based system to actively manage and link all Risks, Incidents, Controls, Actions, and KRIs with quick visibility of any status change to remove information latency.
  - Extremely intuitive & flexible system with pixel perfect reports that can be tailored to exact specifications.
  - It provides evidence and reports to satisfy Regulators that controls are in place and are monitored.



## Legal Compliance Software



- Legal compliance can no longer be managed manually in excel and on email.
- The software comes populated with latest compliance library which is updated on a real time basis.
- The software is user friendly – web based and fully secured which is accessible from anywhere.
- Product can be implemented in 1-5 days (Average time)
- Lowest price in India for similar technology



## Integrated GRC Software



- Manage Multiple domain of Risk
- Integrated your existing Infrastructure
- Engage your internal and external stakeholders
- Implement quantification to drive business insights
- Configurable Platform/Low Code
- Powerful Advanced Workflow
- Master Control and Regulation Library
- Enhance Risk Visibility and drives accountability for Risk



## Third Party risk Management (TPRM) Software



- Riskpro has a centralised automated solution offering management of all third party vendors under one roof with features like:
  - A collaborative, secure environment for customers/vendors to share information and a well defined access control for key risk management stakeholders.
  - Prioritizes vendors according to the organizational importance.
  - Tracks and monitors ongoing vendor risks.
  - Provide complete reporting and analysis of vendor risks and benchmarking against industry standards.



## Contract Management Software



- A Contracts and Obligations Management System would assist you in end to end management of your contracts and obligations.
- Riskpro helps you with,
  - centralized, accurate and quick view of your contracts and obligations.
  - Customize your contract type and metadata.
  - Role based access to multiple users.
  - Efficient management of your contract repository.
  - Alerts and notifications to proactively manage your obligations.
  - Option to capture contract litigation.





## Labour Compliance Automation Software



- The Labor Compliance automation assists in the filing of Returns, Challans, Registers for various Payroll, Shops & Establishment, Factory & CLRA related Compliances covering all states and Union Territories.
- This workflow-based and role-based management tool, offered through our technology partner, offers real time legal updates.
- The software has features like Templatized Forms, Smart Dashboard, Compliance Calendar, standard and on-demand Reporting Capabilities.



## Secretarial Compliance Automation Software



- The Secretarial Compliance automation tool helps secretarial departments manage their secretarial duties efficiently through the tool.
- The software, provided through our technology partner, enables management of Board meetings, notices, agenda, attendance and minutes through the tool.
- The software has features like Smart Dashboard, Auto Generation of Records & Compliance Documents, Secretarial Rules, Compliance Status Reports, real time Legal Updates and more.



## Whistleblowing Software



- This is a third-party ethics helpline which allows Employees, Dealers and Third-Parties to raise concerns regarding violations of the Company's Code-of-Conduct/ POSH and other policies.
- This web-based system, offered through our technology partner, enables reporting of issues through multiple channels and languages.
- The software helps to maintain a central repository of all concerns raised, tracking all cases from receipt to closure and provides management with periodic reports highlighting details.





## Automating Accounts Function Software



- The Software helps businesses in gaining complete control over their customers and Vendors with immediate monetary benefits via a faster collection cycle, lower bad debts, preventing duplicate payments, etc.
- It helps with the automating of Customer/ Vendor Management, Account Confirmation & Reconciliation, Collection Management, GSTR 2A Reconciliation & Vendor Communication & helps companies by automating the process of collecting validated TDS/TCS declarations from all the vendors and customers.



## Litigation Management Software



- Litigation Management software helps to create and manage Masters like:
  - Case Types, Case Stages
  - Document and Payment Types
  - Courts, Counter Parties
  - Departments, Entities /Sub-Entities /Locations
  - Users and their Roles
  - Internal & External Advocates & Law firms
- Our software uploads existing notices /cases in bulk for tracking and manage capabilities to upload via excel sheets.



## Treasury Management Software (TMS)



- TMS is an automated system that allows treasury departments to communicate and/or interface with banking partners.
- With a TMS:
  - Storing or pulling in data real time and reporting and analyzing all information in one centralized location.
  - No need to manually enter transaction or revenue data which reduces your total error rate.
  - Increase the amount of time your staff can dedicate to line-of-business projects.



## Document Management Software



- Document Management software can manage any number of versions for a given compliance document / working file and supports 47 document types.
- Our software ensures review by the Superior before any approval.
- Our software is tamper proof as it does not allow any deletion or modification of any uploaded document.



## Automation for Infosec and Privacy Compliance



- Riskpro offers through partner products automation in info-security and privacy monitoring and compliance.
- The tool enables companies to build, manage and scale information security in a single-pane-of-glass
- Companies can track and patch vulnerabilities in real time, automate system testing and alerts and become and remain compliant.
- High level of automation of the work required for security, makes it easier and faster to comply with various standards such as SOC2, ISO27000, GDPR, HIPAA and PCI DSS.
- The automation tool is the fastest and most transparent way to build trust in your organization and demonstrate a commitment to security and compliance



## Cyber Security Software



- We combine the power of Cyber Intelligence, Brand Monitoring, Attack Surface Monitoring, Infrastructure Monitoring and Supply Chain Intelligence to give context to our customers' digital risks.
- we would help you detect to Source code leaks, Server credential leaks Wallet Scams, Board member credential leaks, 3rd Party Data leaks Business email compromise attacks, Dark Web conversations, Telegram Conversation Monitoring, Credit/Debit Card leaks, Fake social media profiles, Fake Mobile Applications, Phishing campaigns etc.





# Our Service Verticals

A hand in a white shirt sleeve is pointing at a futuristic digital interface. The interface features a large circular gauge with a needle, surrounded by various gears of different sizes and a network diagram with nodes and connecting lines. The background is a light blue gradient.

**Risk Advisory**

**IT Advisory**

**Technology**

**Trainings**

**Recruitment**

## Risk Training

# Risktraining

- Riskpro is a leading Risk Management Training Company and has conducted 50+ trainings which include Open House programs across major metros and in house trainings to major Corporates, BFSI Cos.
- We have a variety of training programs across Info Security, Credit, Basel, ERM, Governance, BCM etc.
- Riskpro is a Corporate Entity with expert Trainers and our programs are cost effective compared to most companies.



## ERM Training



- Riskpro regularly conducts trainings on ERM and Operational Risk across various cities as two days training.
- The course provides participants the understanding of risk management concepts and provides them with practical tools and methods required for implementation framework.
- ERM Trainings are also offered as in House Trainings for Companies.



## Personal Data Protection Awareness Training



- PDP training helps your staff and their need to be aware of the risks involved in processing personal data and how to mitigate those risks.
- We provide Online or in-person trainings on regulatory requirements of the PDP Bill.
- We highlight the importance of their duty while handling personal/ sensitive data.





## Fraud Risk Training



- Riskpro has conducted both open and inhouse programs for major PSU, Corporates and BFSI clients.
- We conduct one to three days program for Fraud Risk Training.
- We provide in-depth understanding of fraud schemes, fraud prevention measures, etc.



## ISO 31000 Training



- Riskpro regularly conducts trainings on ISO 31000 across various cities as two days training.
- The course provides participants the understanding of risk management concepts and provides them with practical tools and methods required for implementation framework.
- This is an instructor led course designed to provide a practical understanding of risk management.



## Cyber Security Training



- Riskpro provides training on awareness on Cyber security risk trends, types or controls and make them aware of their responsibilities in relation to fraud prevention.
- We provide training on Vendor Awareness Programs on information security.
- We provide quarterly Information security risk assessments and Annual IS Audits are conducted by experienced professionals.



## Anti bribery/ Corruption Training



- Riskpro provides 1-2 hours / upto 15-16 hours FCPA / Anti Bribery training.
- We help with FCPA Overview and Compliance Requirements like the law's key Anti-Bribery and Accounting Provisions, how to avoid FCPA risk and reputational harm etc.
- We provide training regarding ISO 37001 – Anti Bribery Management Systems-Important Framework elements.
- We help with the Assessment and Certification.



## AML Training



- We have the most successful programs across Chennai, Mumbai, Bangalore and Pune.
- We conduct 1-2 hrs / 1 to 3 days training on AML, KYC, Terrorist Financing, Trade Based ML and Customer profile covering the following aspects:
  - Introduction and emerging trends in AML.
  - Customer profiling and high risk customers.
  - Designing an effective AML framework.
  - Suspicious transactions and detection.



## Operational/ Credit Risk Training



- Riskpro conducts 1-2 hours / one to three days program on Operational Risk.
- We conduct foundational training which can be designed and rolled out across the Company.
- We have four effective programs for Credit Risk:
  - Credit risk management for Credit Risk Analysts
  - Credit risk management workshop for Relationship Managers
  - Senior Management course on Credit Strategy
  - Risk Management program for Branch Managers.



# Our Service Verticals



**Risk Advisory**

**IT Advisory**

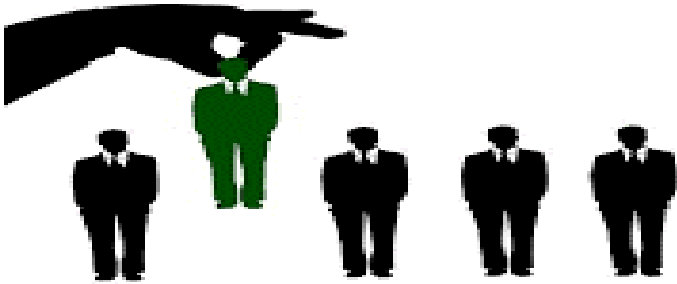
**Technology**

**Trainings**

**Recruitment**



## Independent Director for Corporates



- We link Right People as professional decision mentors for your organization.
- We provide Qualified Industry professionals with systematic assessment of needs, comparing the company's strategic plan with an up-to-date profile of the skills and experience of the current board.
- We understand the specific needs of the board and an insight into the unique nature of a company.



## Virtual Risk Manager



- Riskpro provides Virtual Risk Managers (VRM) who would be on our payroll but are fully dedicated to you for the time period like hourly, per week etc. that you have hired them for.
- Our VRMs can be contacted any time for anything that you need to be done and small conversations with our VRM are always free.



## Full/ Part Time Risk Internal Audit Professionals



- Riskpro is constantly building its network of Risk Professionals across India whose experience starts from 2 years to 20 years.
- Being Risk Professionals ourselves, we are able to match your requirements and candidate experience better.
- The Project employees pool will be available as per your needs and the employees on site can start/stop projects as per your master project plan.





# Some of Our Risk Advisory Clients

Manufacturing	Manufacturing	IT Services	Others	NBFC	Insurance
					
					
					
					
					
			And Many More Clients		
					
					

# Some of Our BFSI Clients

Banking	Banking	Insurance	International	International	Others
					
					
					
					<div>And Many More Clients</div>
					
					
					
					

# Some of Our IT Advisory Clients

IT Services	IT Services	Technology	Consulting	Manufacturing	Others	Others
						
						
						
						
						
				And Many More Clients		
						
						



# Some of Our SSAE Clients

IT Services	IT Services	IT Services	IT Services	IT Services	IT Services	IT Services
						
						
						
						
						
						
						
						



# Some of Our SSAE Clients

Software	Software	Consulting	Others	Others
				
				
				
				
				And Many More Clients
				
				
				

# Some of Our GDPR Clients

IT Services	IT Services	Consulting	Manufacturing	Software	Others
					
					
					
					
			<div>And Many More Clients</div>		
					
					
					

# Some of Our HIPAA Clients

IT Services	IT Services	IT Services	Software	Others
				
				
				
				
			And Many More Clients	
				
				
				



# Some of Our ISO 27001 Clients

IT Services	IT Services	Software	Consulting	Others
				
				
				
				
			<div>And Many More Clients</div>	
				
				
				

# Some of Our Technology Clients

Manufacturing	Manufacturing	Insurance	Others	NBFC	Pharma	Consulting
						
						
						
						
						
						
						
						

And Many  
More Clients

# Some of Our Training Clients

IT Services	Consulting	Manufacturing	NBFC	Insurance	Others	Public Sector (PSE)
						
	Walker Chandio & Co LLP					
						
						
						
						
						

And Many  
More Clients



# Some of Our Recruitment Clients

IT Services	Others	Consulting	Manufacturing	Banking	Insurance	Software
						
						
			 			
						
						
				And Many More Clients		
						
						



**New decisions bring new risks and to manage them better, connect with one of our team members!**



**Manoj Jain**

### Founder and Director

- CA, CPA, MBA-Finance (USA), FRM (GARP)
- Over 10 years international experience – 6 years in Bahrain and 4 years USA
- More than 27 years exp in risk management consulting and internal audits, Specialization in Operational Risk, Basel II, Sox and Control design
- Worked for Ernst & Young (Bahrain), Arab Investment Company (Bahrain), Navigant Consulting(USA), Kotak Mahindra Bank (India) and Credit Suisse(India)
- Sox Compliance project for Fannie Mae, USA ( \$900+ Billion Mortgage Company)

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**Laxmikant Gupta**

### Principal

- CA, CMA, CS, FRM,
- Laxmikant has over 25 years experience in risk management, governance, compliance, ethics, control, internal audit.
- His experience has been across areas like operational risk, market risk, settlement risk in addition to SEBI Compliances, internal compliances, governance norms based on new Companies Act, new Insider Trading laws etc.
- He headed risk management / compliance function for Franklin Templeton, Tata TD Waterhouse, Birla Sun Life, ICICI Venture, NCDEX. He also worked with I-Sec, A F Ferguson & Co.

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**Rita Shewakramani**

### EVP – Internal Audit & Risk Advisory

- Chartered Accountant, a Certified Internal Auditor (CIA) and a Certified Risk Mgmt Professional (CRMA).
- She has more than 25 years of post qualification experience into Internal Audits, Risk, Application Reviews, Operations / Process/ Internal control reviews, Fraud Investigations.
- She has worked with consulting firms like Baker Tilly Singhi Consultants Pvt Ltd, Price Waterhouse Coopers, EY, Aneja Associates and Corporates like Reliance (Internet Exchange), GE Capital, CMS Computers etc.

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**Ashok K Agarwal**

### EVP – IT Risk Advisory

- CISA| CRISC| ISO 27001 |ISO 20000 |CAMS|CENTS|CAIIB|Cyber, Cloud, and Cyber Security Professional
- Over 25 years of experience in the multiple Banking (Punjab National Bank-Delhi, AXIS Bank - Mumbai, DCB Bank – Mumbai and Bank Dhofar – Muscat - Oman) in IT leadership roles like eg. IT Management, Information Security Management and IT Audit.
- Since last 5 years, He is heading IT Risk Advisory and consulting practises across multiple sectors BFSI, Insurance, FinTech's and SEBI regulated entities. like Cyber Security, Digital security consulting, Network and Technology Infrastructure of Data Centre, IT Governance, and other regulatory compliances
- He earned a Master in Economics. He is banker turned technocrat with rich experience.

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**Vivek Dixit**

### **EVP – Risk Management and Governance Advisory**

- B.Com, DFM. Numerous Work related and Leadership trainings in Corporate World.
- Experienced, multi faceted, techno functional corporate professional with 38 years in the industry. viz. Dell, Microsoft, IBM, Atos (Origin), Ingersoll-Rand, Siemens. Global judge for certification of YB and GB Six Sigma projects in Dell.
- Associated with PMI significantly for past decade.
- Invited as a Speaker for various events.
- Passionate Graphologist, & conduct Logo Analysis.
- Career Mentor & Advisor.

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**Vikas Gupta**

### **EVP - Risk Advisory**

- CFA, MBA Finance (USA)
- Over 28 years experience in global Financial Services Industry.
- More than 15 years international experience in the USA, UK and APAC.
- Deep expertise setting and managing global deliveries in credit, market and operational risk, regulatory compliance, and corporate governance.
- Has held several executive positions with MNC banks including Chief Risk Officer (Wells Fargo), Chief Compliance Officer (Credit Suisse) and Head of Risk (RBS).
- Eminent industry connected leader with recognitions and credibility

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**Venkatkrishnan G**

### **EVP-Internal Audit & Risk Advisory**

- Chartered Accountant, CISA. ISO 27001 Certified
- More than 25 plus years of experience in the space of Internal audits, process and controls, IT audits, operations and finance
- In the past Venkat has worked with audit and consulting firms like Price Waterhouse, Deloitte and GT. In addition he has also worked in multinational corporates like IBM, Shell, SCB.
- He also did a stint in Muscat, Oman and has worked in various engagements in the USA, Far East and UK.

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**Saswata Banerjee**

### **EVP – Legal Compliance**

- B.Com LLB, FCMA, DISA, MBA Fin, ISO27001 and ISO45001 certified
- Experienced of 26 years in the Internal Audit & Business Consulting
- Worked in Legal Compliance Gap Analysis & Audits, Costing & Profitability, Process & Controls Internal audit of a large number of large companies as well as SMEs.
- Exposure in Retail, Pharma, Chemicals, Textiles, Real Estate & Infrastructure, Educational Institutions, Shipping & Logistics and Petrochemical Industries.

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**Nitesh Shanbhag**

### Senior Advisor – Strategy & New Initiatives

- PGDM – IIMA, B.E (Mech) – NITK, Financial Risk Manager (FRM -GARP)
- Over 25 years experience in Risk Assessment and Management covering credit risk analysis and credit rating, credit underwriting for corporate and equipment finance, impact and social financing, and Enterprise Risk
- Prior work engagements with National Skill Development Corporation, a PPP in the skilling sector; GE Capital Services India, and credit rating agency ICRA Limited.

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**Sucheta V. Upendra**

### Senior Vice President – Information Security

- MCA, CISA CRISC, CDPSE
- PCI-DSS QSA
- ISO 27001 and ISO 27701 LA
- DCPLA (DSCI Certified Privacy Lead Assessor)
- GRC professional with over 25 years of experience in the IT sector.
- Experience in consultancy and audits for various information security and data privacy standards.
- Worked for leading firms such as TCS, Siemens, and Cerner.

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**Ritu Thakkar**

### SVP – Information Security

- Around 20+ years of experience as an Implementer, Lead, Executor, Trainer, Information Security Auditor and IT Compliance in ISMS.
- Experience in SOC 2, ISAE 3402, ERM, Business Continuity, ITIL, GDPR, HIPAA, Enterprise Risk Management, Cloud Security, ITGC, Quality Management, Lean, QAI, Process Implementation, IT Operations, CMMI, Agile and Software Development Lifecycle (SDLC), Threat Intelligence, Data Protection and Compliance Management.
- Certified LA/LI ISO/IEC 27001, Certified ISO/IEC 27001 Information Security Associate, ISO/IEC 20000 IT Service Management Associate, Certified Project Management Essentials, Certified Foundations of Business and Entrepreneurship, Certified Lead Auditor ISO/IEC 9001, 14001, Certified ITIL, CEH, Certified Six Sigma White Belt, Green Belt, Black Belt.
- Company worked Riskpro India, Vakrangee Limited, Network Solutions – An IBM Company, CMS Computers, Ministry of Defence (MoD).
- Winner of Datacenter Summit & Awards under the category Risk Management, Bronze in the 13th Annual IT World Award in Women IT Category, World Award Information Security Awareness Program Excellence Awards (MediaPro), Info Sec Speaker for CISO Platform. Judge for Golden Bridge Awards, DG Award.

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**Natarajan Mohan**

### SVP – Risk Advisory

- ACMA, CISA, DCPLA, ISO 27001:2013 LA, PMP, CSM
- Over 27+ Years of varied experience in Internal Audit, Risk Management, Regulatory and Compliance audit, Cost Management, Project Management and PMO.
- Expertise in SSAE 21 (SOC) audits and consulting, ITGC, ISMS audits, internal audit, privacy & security risk assessment.
- Worked for leading companies like Sundaram Finance, Satyam Computers, Cognizant, Hexaware & Tech Mahindra.

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**Nehal Mapara**

### SVP – Information Security

- Chartered Accountant, ISO 27001 Lead Auditor
- 22 years of post qualification experience in internal audits, risk assessments, process enhancements, ISO 9001 & 27001 audits, compliances, commercial reviews, GDPR implementation and audits and SOC Audits
- Established Commercial function in Hutchison Global Services & Compliance function for Datamatics Business Solutions Ltd
- Worked with consulting firms like Axis Risk Consulting, Aneja Associates and corporates like Hutchison Global Services, Jet Airways, Datamatics Business Solutions Ltd.

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**Poonam Khandwani**

### VP – Risk Advisory

- FCA,LLB, Certified Forensic & Concurrent auditor ,with over 17 years of professional experience.
- Experience in Internal Audit, Statutory Audit, Risk based audits , ICFR reviews, SOX compliances, SSAE audits ,ERM engagements & Fraud risk assessment etc.
- Performed risk based internal audits across multiple industry segments namely, Irrigation, Cement, Chemical, Textile & Garment , Insurance, Banking industry, Telecom, FMCG,, Software development ,BPO etc

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**Pragya Pranati**

### VP-Risk Advisory

- Chartered Accountant, ISA (ICAI) MBA (Finance), ISO 27001, M.Com,
- She has over 16 years of experience with a mix of both corporate and consultancy.
- She has worked previously with Barclays (Pune) , Flextronics Technologies P. Ltd (Chennai/Pune), Exl Services P Ltd. (Noida)
- Her professional experience includes, ITGC compliance and review, SOP, internal audit, Application access management and Role management, Implementation of GRC Tool which includes preparation of functional specification, conducting UAT, training to WW stake holders and Go-live management

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**Anita Balasubramanian**

### VP – IT Risk Advisory

- ISACA CISM Trained, Certified ISO 27001 Lead auditor, ITIL V3 Expert, Prince 2 Practitioner, Certified Internal Auditor for ISO 9001,20000-1 standards
- She has around 16 plus years of experience in ISO 27001, Governance , Risk and Compliance, Internal Audits, Risk Management, Process/ Internal control reviews, Data Privacy and GDPR.
- She has worked with IBM, Oracle, Microland, Mindtree, Thirdware, Computer Science Corporation, Ramboll Etc.

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**Niveditha Hampiholi**

### AVP - Risk and Compliance

- MBA (Finance)| DSCI Certified Privacy Lead Assessor (DCPLA)| ISO 27001:2022 Lead Auditor
- She has over 18+ years experience in Risk Management and Internal Audits (mainly in Banking and Financial Sector).
- She also has specialization in operational risk, Sarbanes Oxley and Internal Controls.
- She has prior experience with Northern Trust, Ocwen Financial Services & ADC Telecommunications Inc.

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**Shalini Gundu**

### AVP – IT Risk Advisory

- Bachelor of Accounting and Finance (B.A.F.)
- Certified Lead auditor for ISO 27001 : 2022 and ISO 9001:2008, Certified Six Sigma Green Belt and ITIL Professional.
- She has more than 13 years of experience in External Audit, consulting and implementation for SSAE 21 and ISAE 3402. Internal audit for SSAE 16 and 18, ISAE 3402, ISMS, Integrated audits, QMS and CMMI.
- She has worked with firms like Softtek India Pvt Ltd, eClerx India Pvt Ltd, WNS Global Services, Intellinet Global services etc

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**Vinaya Puneekar**

### Information Security Consultant

- Chartered Accountant and Certified Information Systems Auditor (CISA), ISO 27001 – LA, CCSK
- Vinaya has 23+ years of post qualification experience in
- SOC1, SOC2 audit/ readiness assessments/implementation
- ISMS implementation, audits
- ITGC/ ITSoX / IFC testing, Internal Audit
- She has worked with Big4 and other consulting forms.
- She has also worked across industries such as Software, Manufacturing, Power and Telecommunications.

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**Sonakshi Sinha**

### Senior Manager – Data Privacy and Legal

- Legal professional with years of experience in the domain of data privacy, risk advisory and legal advisory.
- She has experience in IPR, Data Privacy Implementation and Audits, Data Privacy Impact Assessment, Operations/ Process reviews, Data Protection Training and awareness for various data privacy regulation around the globe.
- She has handled multiple roles over the years.

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A person wearing a white shirt is holding a smartphone in their right hand, positioned over a laptop keyboard. The background is slightly blurred, focusing on the hand and the phone. The overall scene suggests a professional or business context.

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