Riskpro’s Overview

Riskpro is an “Indian” Risk Management and Compliance Consulting Firm

We connect Risk Professionals to Clients for maximum value add

Riskpro has four business verticals are Risk Advisory, Trainings, Technology and Recruitment

- 9+ years of business
- 425+ Clients
- 7+ Cities
- 50+ Team Members
- 10+ strategic partners
- 25+ Cities Associate firm representation

Our growth story is continuous.
You need to find a balance between RISK and REWARD and Connect
Risk Based Internal Audit

- Riskpro provides flexible internal audit services on out-sourced basis or as an integral part of your organization or both.
- Our services are top class and provided by knowledgeable professionals with deep industry expertise.
- Riskpro has a team of experienced internal auditors in major cities across India.

Legal Compliance Audit

- Regulations and legal / compliance burden is affecting organizations of all sizes and across industry sectors.
- Riskpro, along with its member firm, has developed an effective and comprehensive Legal and Compliance Audit (LCA) procedure which ensures that your organization is periodically reviewed for non-compliance and process gaps.

Enterprise Risk Management

- Riskpro has strong domain expertise when it comes to Enterprise Risk Management ranging from Financial Services to specialized manufacturing businesses.
- We have unique ERM Methodologies and develop ERM Frameworks using COSO ERM and ISO 31000 helping with Risk Assessments and building key risk metrics ensuring a successful project.
Internal Financial Controls (IFC)

- Internal Controls are the backbone of any organization.
- Riskpro helps to develop a framework for assessing and reporting on the operating effectiveness of the internal controls, Gap Analysis and adequacy of internal control documentation.
- We help with all your SOP and flowcharts in place and a mechanism to test these controls.

Operational Risk Management

- Riskpro can review risk management structures & infrastructure
- We develop operational risk policies, frameworks, terms of reference and implementation project plans.
- Development of Risk Control Self Assessment (RCSA) methodology and Risk Register
- Review and enhancement of Loss and Event Reporting framework
- Comparison done against best practices of risk modelling, strategic objectives and regulations.

Loan File Hind Sighting

- Loan File Hind Sighting requires a detailed verification of and reporting on loan files of various products as per the laid down policies.
- Riskpro develops a detailed product wise hind sighting checklist and identifies the criticality and the owner of the check point by performing monthly audit of files disbursed to ensure compliance with policies and reports on deviations and action plan.
Our Service Verticals

- Risk Advisory
- IT Advisory
- Technology
- Trainings
- Recruitment
- Organizations continue to outsource parts of their business to realize potential cost benefits, to alleviate the need for hiring or retaining internal specialists and/or to create more flexibility to realize their business strategy.

- Assurance reports play an important role as a management control. In the USA, the new SSAE 18 standard was introduced in 2016 and implemented in 2017.

- Riskpro has done more than 100+ SSAE engagements and we have an inhouse CPA to perform these attestations.

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- GDPR is a sweeping change. Effective May 2018, if you are not ready, you will not be able to do business, if you hold Personal data of any EU citizen and are not GDPR compliant.

- This may be as simple as an email in a marketing email list. You will not be able to send marketing mailers unless there is explicit consent or a lawful purpose/legitimate business purpose.

- If you are recruiters, you will not be able to forward the CVs, download and save candidate data without their consent.

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- In 2002, the United States Congress passed the Sarbanes-Oxley Act (SOX) to protect shareholders and the general public from accounting errors and fraudulent practices in enterprises, and to improve the accuracy of corporate disclosures.

- An Audit of Internal Control over Financial Reporting performed in conjunction with an audit of financial statements.

- All public companies now must comply with SOX, both on the financial side and on the IT side.
ISO 27001

- ISO 27001 specifies a management system that is intended to bring information security under management control and gives specific requirements.
- Riskpro helps to review current practices against ISO 27001 requirements and establish frameworks to address Information Security.
- We carry out a self assessment review of your ISMS implementation against the requirement and issue a review / certification report.

Cyber Security Advisory

- A company should adopt leading framework to evaluate their preparedness against cyber risks.
- Riskpro helps companies to develop a board approved Cyber Security Policy and Cyber Crisis Management Policy.
- We can develop Key Risk Indicators to track risks and preparedness.
- We conduct Periodic cyber risk audits.

HIPAA Compliance

- Companies that deal with protected health information (PHI) must have physical, network, and process security measures in place and follow them to ensure HIPAA Compliance.
- We perform gap assessment and give a gap report against HIPAA compliance.
- We help with documentation, fixing documentation related gaps.
- We help with security consulting and implementation support and provide HIPAA Compliance Report.
Our Service Verticals

Risk Advisory
IT Advisory
Technology
Trainings
Recruitment
Internal Audit Software

- Riskpro has partnered with technology companies to provide various software to clients.
- Audit Software provides the following modules as part of the standard offerings:
  - Audit planning
  - Work paper
  - Observations creation
  - Follow up
  - Automated Audit Reporting.

Risk Management Software

- Riskpro has partnered with technology companies to provide various software to clients.
- Risk Management software provides following features:
  - Workflow based system to actively manage and link all Risks, Incidents, Controls, Actions, and KRLs with quick visibility of any status change to remove information latency.
  - Extremely intuitive & flexible system with pixel perfect reports that can be tailored to exact specifications.
  - It provides evidence and reports to satisfy Regulators that controls are in place and are monitored.

Legal Compliance Software

- Legal compliance can no longer be managed manually in excel and on email.
- The software comes populated with latest compliance library which is updated on a real time basis.
- The software is user friendly – web based and fully secured which is accessible from anywhere.
- Product can be implemented in 1-5 days (Average time)
- Lowest price in India for similar technology
Insider Trading Software

- Insider Trading Management System (ITMS) is an enterprise-class web application which can automate and address the challenge of complying with regulatory requirements specific to insider trading. It serves as a central repository of insider related information for reporting and analytics.

- ITMS helps organizations easily capture details about "Insiders" and their relatives as per the policy definition.

Third Party risk Management (TPRM) Software

- Riskpro has a centralised automated solution offering management of all third party vendors under one roof with features like:
  - A collaborative, secure environment for customers/vendors to share information and a well defined access control for key risk management stakeholders.
  - Prioritizes vendors according to the organizational importance.
  - Tracks and monitors ongoing vendor risks.
  - Provide complete reporting and analysis of vendor risks and benchmarking against industry standards.

Contract Management Software

- A Contracts and Obligations Management System would assist you in end to end management of your contracts and obligations.

- Riskpro helps you with,
  - centralized, accurate and quick view of your contracts and obligations.
  - Customize your contract type and metadata.
  - Role based access to multiple users.
  - Efficient management of your contract repository.
  - Alerts and notifications to proactively manage your obligations.
  - Option to capture contract litigation.
Litigation Management Software

- Litigation Management software helps to create and manage Masters like:
  - Case Types, Case Stages
  - Document and Payment Types
  - Courts, Counter Parties
  - Departments, Entities /Sub-Entities /Locations
  - Users and their Roles
  - Internal & External Advocates & Law firms
- Our software uploads existing notices /cases in bulk for tracking and manage capabilities to upload via excel sheets.

Treasury Management Software (TMS)

- TMS is an automated system that allows treasury departments to communicate and/or interface with banking partners.
- With a TMS:
  - Storing or pulling in data real time and reporting and analyzing all information in one centralized location.
  - No need to manually enter transaction or revenue data which reduces your total error rate.
  - Increase the amount of time your staff can dedicate to line-of-business projects.

Document Management Software

- Document Management software can manage any number of versions for a given compliance document / working file and supports 47 document types.
- Our software ensures review by the Superior before any approval.
- Our software is tamper proof as it does not allow any deletion or modification of any uploaded document.
Our Service Verticals

- Risk Advisory
- IT Advisory
- Technology
- Trainings
- Recruitment
Risk Training

- Riskpro is a leading Risk Management Training Company and has conducted 20+ Open House programs across major metros and 25+ in house trainings to major Corporates, BFSI Cos.
- We have a variety of training programs across Info Security, Credit, Basel, ERM, Governance, BCM etc.
- Riskpro is a Corporate Entity with expert Trainers and our programs are cost effective compared to most companies.

ERM Training

- Riskpro regularly conducts trainings on ERM and Operational Risk across various cities as two days training.
- The course provides participants the understanding of risk management concepts and provides them with practical tools and methods required for implementation framework.
- ERM Trainings are also offered as in House Trainings for Companies.

Personal Data Protection Awareness Training

- PDP training helps your staff and their need to be aware of the risks involved in processing personal data and how to mitigate those risks.
- We provide Online or in-person trainings on regulatory requirements of the PDP Bill.
- We highlight the importance of their duty while handling personal/ sensitive data.
- Riskpro has conducted both open and inhouse programs for major PSU, Corporates and BFSI clients.
- We conduct one to three days program for Fraud Risk Training.
- We provide in-depth understanding of fraud schemes, fraud prevention measures, etc.

- Riskpro regularly conducts trainings on ISO 31000 across various cities as two days training.
- The course provides participants the understanding of risk management concepts and provides them with practical tools and methods required for implementation framework.
- This is an instructor led course designed to provide a practical understanding of risk management.

- Riskpro provides training on awareness on Cyber security risk trends, types or controls and make them aware of their responsibilities in relation to fraud prevention.
- We provide training on Vendor Awareness Programs on information security.
- We provide quarterly Information security risk assessments and Annual IS Audits are conducted by experienced professionals.
Our Service Verticals

Risk Advisory
IT Advisory
Technology
Trainings
Recruitment
Independent Director for Corporates

- We link Right People as professional decision mentors for your organization.
- We provide Qualified Industry professionals with systematic assessment of needs, comparing the company’s strategic plan with an up-to-date profile of the skills and experience of the current board.
- We understand the specific needs of the board and an insight into the unique nature of a company.

Virtual Risk Manager

- Riskpro provides Virtual Risk Managers (VRM) who would be on our payroll but are fully dedicated to you for the time period like hourly, per week etc. that you have hired them for.
- Our VRMs can be contacted any time for anything that you need to be done and small conversations with our VRM are always free.

Full/ Part Time Risk Internal Audit Professionals

- Riskpro is constantly building its network of Risk Professionals across India whose experience starts from 2 years to 20 years.
- Being Risk Professionals ourselves, we are able to match your requirements and candidate experience better.
- The Project employees pool will be available as per your needs and the employees on site can start/stop projects as per your master project plan.
Riskpro Clients

"Legal Compliance Software is a must today. Riskpro, through its partner firms has implemented the software in several Corporates"

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“Legal Compliance Software is a must today. Riskpro, through its partner firms has implemented the software in several Corporates”

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“SSAE 16 / SOC 1 /SOC 2 audits are now becoming essential for Indian Software Companies / ITES/BPO firms. Riskpro has done more than 30+ SSAE 16 audits”
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“Riskpro is helping Market Research, Analytics, SaaS platform companies with compliance to GDPR

GDPR Clients
Riskpro Clients

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“Riskpro is helping Market Research, Analytics, SaaS platform companies with compliance to GDPR
New decisions bring new risks and to manage them better, connect with one of our team members!
Manoj Jain

**Founder and Director**
- CA, CPA, MBA-Finance (USA), FRM (GARP)
- Over 10 years international experience – 6 years in Bahrain and 4 years USA
- 18 years exp in risk management consulting and internal audits, Specialization in Operational Risk, Basel II, Sox and Control design
- Worked for Ernst & Young (Bahrain), Arab Investment Company (Bahrain), Navigant Consulting (USA), Kotak Mahindra Bank (India) and Credit Suisse (India)
- Sox Compliance project for Fannie Mae, USA ( $900+ Billion Mortgage Company)

Casper Abraham

**Co Founder and Director**
- PGD (Electrical & Electronics & Computer Programming)
- 30 years of experience in Information & Communications Technology (ICT) Solutions for Retail, Garments, Manufacturing, Services Industries.
- Has created Companies, Divisions, Products, Brands, Teams & Markets.
- Consulting in Business, Technology, Marketing & Sales & Strategic Planning.
- Advisory, Training, Workshops & Implementation in Systems Thinking, Systems Modeling & Balanced Scorecard
- Worked with TIFR, Mahindra, Ambience, Communico-Graphique & Ionidea In

Laxmikant Gupta

**Principal**
- CA, CMA, CS, FRM,
- Laxmikant has over 15 years experience in risk management, governance, compliance, ethics, control, internal audit.
- His experience has been across areas like operational risk, market risk, settlement risk in addition to SEBI Compliances, internal compliances, governance norms based on new Companies Act, new Insider Trading laws etc.
- He headed risk management / compliance function for Franklin Templeton, Tata TD Waterhouse, Birla Sun Life, ICICI Venture, NCDEX. He also worked with I-Sec, A F Ferguson & Co.

Rita Shewakramani

**EVP – Internal Audit & Risk Advisory**
- Chartered Accountant, a Certified Internal Auditor (CIA) and a Certified Risk Mgmt Professional (CRMA).
- She has around 15 years of post-qualification experience into Internal Audits, Risk, Application Reviews, Operations / Process/ Internal control reviews, Fraud Investigations.
- She has worked with consulting firms like Baker Tilly Singhi Consultants Pvt Ltd, Price Waterhouse Coopers, EY, Aneja Associates and Corporates like Reliance (Internet Exchange), GE Capital, CMS Computers etc.

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98204 85504
Executive Vice President – IT Risk Advisory

- BTech MBA (USA)
- 22 years of work experience, 16 of which were in risk management domain, 11 years of global experience in USA & UK
- Ex Chief Risk Officer of Birla Sun Life Insurance & CMS Info System.
- Managed Risk & Compliance for two UK based insurance KPOs (Paternoster India & JLT India)
- Core expertise in ERM, Capital Valuation, Operational Risk, Information Security, BCM, Governance & Internal Audit
- CISA, CIA, CMA, FLMI, MBCI qualified.

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98209 94063

Executive Vice President – IT Risk Advisory

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- CISA, CIA, CMA, FLMI, MBCI qualified.

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EVP – Risk and Internal Audit

- FCMA, CIA, CFE-Retired, CMA, CertIFRS and AWS Solutions Architect Associate.
- Extensive experience in a wide range of MNCs and Big Four Accounting and Audit Firms.
- Overseas experience in USA, UK, South Africa, China and UAE.
- IT Systems Professional with ERP implementation experience in the US, Canada and the UK.
- Specialized in Fraud Risk Management.
- Cloud Security through Architecture and Design.

Ananda.goswami@riskpro.in
9007501581

EVP – Risk Management and Governance Advisory

- B.Com; DFM. Numerous Work related and Leadership trainings in Corporate World.
- Experienced, multi faceted, techno functional corporate professional with 30 yrs in the industry. Worked in top notch organizations viz. Dell, Microsoft, IBM, Atos (Origin), Ingersoll-Rand, Siemens. Global judge for certification of YB and GB Six Sigma projects in Dell.
- Associated with PMI significantly. Initially Vice President of PMI-Pearl City Chapter when it was started. Invited as a Speaker for various PMI events.

Vivek.dixit@riskpro.in
88066

Executive Vice President – Risk Advisory

- CA with 18+ years of experience, including 9+ years in leadership positions.
- Mona has been instrumental in providing services to diverse industries like IT, ITES, Consulting, Chemical, Rating Agencies, Manufacturing, Construction, Media Industry etc..
- She has managed Internal Audit function or worked as consultant for Companies like Tata Housing, Crisil, KPMG, Infosys, Axis Risk Consulting and Praxair and BK Khare.

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9845577588
Ankit Manglik

Senior Vice President

- CA, CIA, CFE and CISA
- Ankit has over 15 years of risk management and internal audit experience, SOX & SSAE compliance, fraud reviews, regulatory compliance reviews, external
- He has headed the audit function for a midsize financial services company and the captive offshore unit of ANZ Bank one of the big 4 Australian banks. He has also worked in PWC for 8 years and Hewlett Packard for 3 years.
- Ankit has extensive experience with internal audit in financial services and back office operations and has setup internal audit functions for captive units of four different companies.

Ankit.manglik@riskpro.in
98804 01236

SVP – Information Security and Data Privacy

- A qualified Information Security and Privacy professional with 20 years of rich experience in ISO 27001, SSAE 16 / ISAE 3402 Type I & II, Data Protection Compliances, Privacy shield and GDPR with leading organizations in HRO, BPO, IT and Manufacturing industry
- Worked for Hexaware Technologies, WNS, Neeyamo and Mahindra & Mahindra

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Kedar Tokekar

Senior Vice President – IT Risk Advisory

- Chartered Accountant and Certified Information Systems Auditor
- Having 23 plus years of experience and exposure across industry / sectors which includes 15 years of Consulting and Assurance in Big 4 audit firms
- Specializes in Statutory audits, Systems Reviews, Third Party Assurance like SSAE, ISAE, Business and IT Process Reviews, Data Analytics and Sarbanes Oxley Act (SOX) assignments.
- Diverse experience in various Industries such as Retail, Manufacturing, IT, ITES, Insurance, BPO, KPO, Shared Service Centres.
- Has worked with Deloitte and PWC

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SV Manjunath

Senior Vice President – Information Security

- MCA, CISA, ISO 27001:2013 LA
- Information security and data privacy professional with over 20 years of experience in IT sector
- Experience in implementation of systems to comply with ISO 27001, GDPR, Privacy Shield and GLBA, conducting ISMS audits, security & privacy risk assessments as well as SOC 2 audits.
- Worked for leading firms such as TCS and Siemens Technology Services

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Sucheta V. Upendra

Senior Vice President – Information Security

- MCA, CISA, ISO 27001:2013 LA
- Information security and data privacy professional with over 20 years of experience in IT sector
- Experience in implementation of systems to comply with ISO 27001, GDPR, Privacy Shield and GLBA, conducting ISMS audits, security & privacy risk assessments as well as SOC 2 audits.
- Worked for leading firms such as TCS and Siemens Technology Services

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Niveditha Hampiholi

**AVP - Risk and Compliance**

- MBA (Finance)
- She has over 14 years experience in Risk Management and Internal Audits (mainly in Banking and Financial Sector).
- She also has specialization in operational risk, Sarbanes Oxley and Internal Controls.
- She has prior experience with Northern Trust, Ocwen Financial Services & ADC Telecommunications Inc.

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Rekha Daga

**AVP Risk Advisory**

- Certified Internal Auditor, Certified Fraud Examiner
- Rekha has over 12 years of experience in advisory engagements with global clients in areas of Internal Audit, SOX compliance, Quality Assurance. She has worked extensively on Financial and operational control evaluation, Process mapping, documentation, testing & reporting. She has provided trainings on SOX, IA methodology, etc
- She has prior experience with MetLife Global IA team, EY Risk Advisory & EXL Consulting.

Ashish Kumar

**Assistant Vice President – Risk Advisory**

- B Com (Hons) & C.A- Intermediate Levels (ICAI). He has 17 years of experience which includes- Operational Risk, Sarbanes Oxley Compliance, Internal Audits & BPO Operations. He has worked with American Express, EXL Services, Barclays Shared Services HCL Technologies, KPMG (Melbourne) & Grant Thornton
- He has experience in functions such as- SOX Advisory; Internal Audit and ERM; Data Analytics & Business Process Improvement & and BPO operations of Accounts Payable process

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# Key Contacts

## Corporate

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- **Ashish Kumar**: AVP Risk Advisory, M: 9811196783, E – ashish.kumar@riskpro.in

## Let’s Innovate Together

If you have substantial risk management experience and want to join a growing consulting firm, send an email to partners@riskpro.in and let’s join hands and innovate together.