

# Risk Management Advisory & Consulting



**Risk Pro**  
Connect with Risk Professionals

**Riskpro, India**

**Take Decisions.....**

**Take Risks.....**

**Experience Success!**

**Risk**  **Pro**  
Connect with Risk Professionals

**Your partner in  
Risk Management**



# Who is Riskpro... Why us?

## ABOUT US

- ❑ Riskpro is an organisation of member firms around India devoted to client service excellence. Member firms offer wide range of services in the field of risk management.
- ❑ Currently it has offices in three major cities Mumbai, Delhi and Bangalore and alliances in other cities.
- ❑ Managed by experienced professionals with experiences spanning various industries.

## MISSION

- ❑ Provide integrated risk management consulting services to mid-large sized corporate /financial institutions in India
- ❑ Be the preferred service provider for complete Governance, Risk and Compliance (GRC) solutions.

## VALUE PROPOSITION

- ❑ You get quality advisory, normally delivered by large consulting firms, at fee levels charged by independent & small firms
- ❑ High quality deliverables
- ❑ Multi-skilled & multi-disciplined organisation.
- ❑ Timely completion of any task
- ❑ Affordable alternative to large firms

## DIFFERENTIATORS

- ❑ Risk Management is our main focus
- ❑ Over 200 years of cumulative experience
- ❑ Hybrid Delivery model
- ❑ Ability to take on large and complex projects due to delivery capabilities
- ❑ **We Hold hands, not shake hands.**

## Risk Management Advisory Services

### Basel II/III Advisory

- Market Risk
- Credit Risk
- Operational Risk
- ICAAP

### Corporate Risks

- Enterprise Risk Assessment
- Fraud Risk
- Risk based Internal Audit
- Operations Risk
- Forensic services

### Information Security

- IS Audit
- Information Security
- IT Assurance
- IT Governance

### Operational Risk

- Process reviews
- Policy/ Process Review
- Process Improvement
- Compliance Risk

### Governance

- Corporate Governance
- Business Strategic risk
- Fraud Risk
- Forensic Accounting

### Other Risks

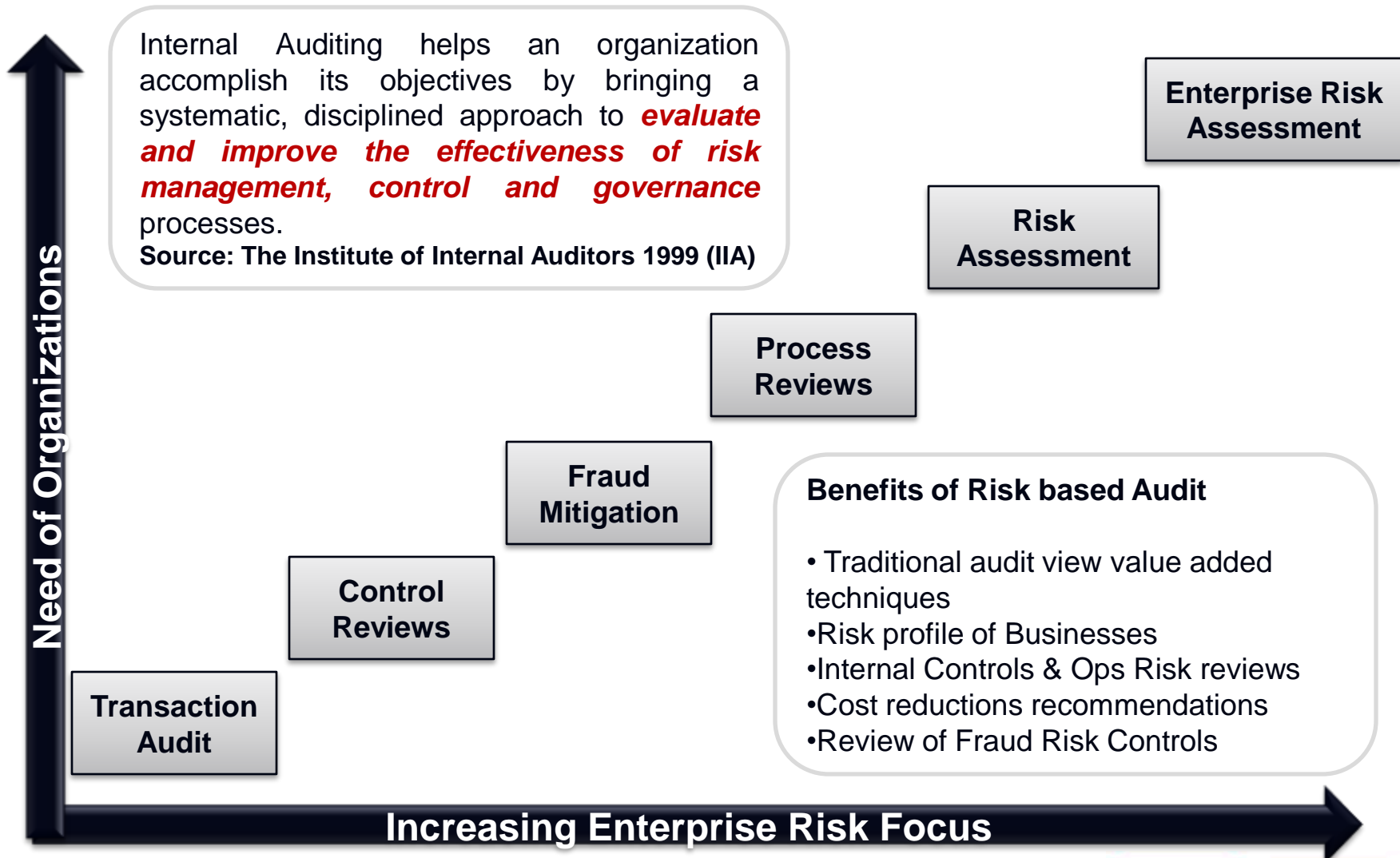
- Business/Strategic Risk
- Reputation Risk
- Outsourcing Risk
- Contractual Risk

## Training

- Banking – E Learning
- Corporate Training
- Regular Risk Management Training
- Online Training material
- Workshops / Events

## Recruitment

- Virtual Risk Managers
- Full Time Risk Professionals
- Part time Risk Professionals
- Risk Managers on call – free



# Our Solution for Fraud Investigation

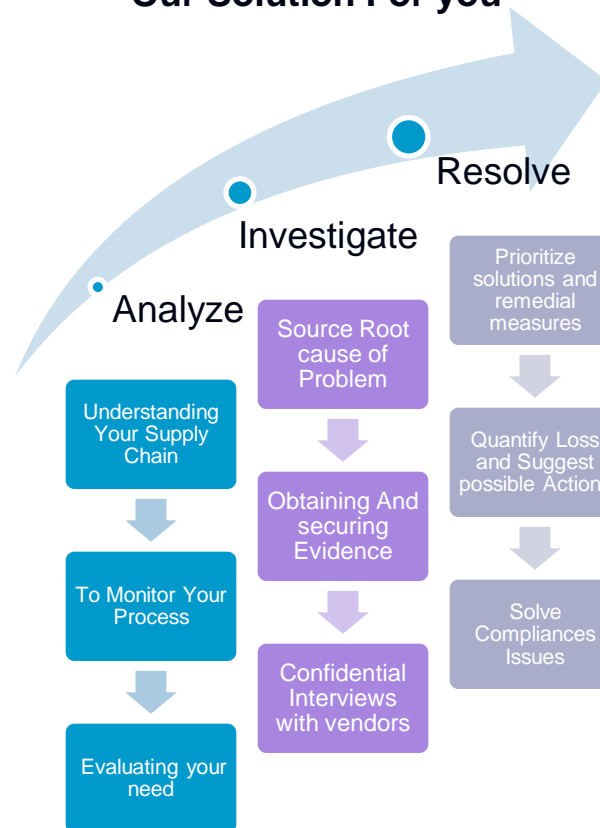


Based on our understanding of your requirements, we have customized a package of our solution offerings to meet your needs.

**To detect and prevent fraud and evaluate Code Of Conduct Compliance on following parameters :**

- Fraud Detection
- Fraudulent Vendor
- Recruiting new dealers, suppliers, franchisees or distributors
- Anti-Fraud Measures
- Monitoring Compliance and Authorization
- Workplace Practice
- Background check for employees
- Background check for customers
- Prevent default of high value bills

## Our Solution For you



## Benefits To You

- Protects you from any Monetary or Reputation damage
- Code of conduct establishment
- Enables you to identify risks / control gaps
- Helps you identify any undisclosed production
- Risk Mitigation

You select the level and size of ERM efforts to suit your needs and budget.

### 1 Foundation

- ERM vision
- Goals and objectives
- Policies
- Organization structure
- Alignment to strategies

### 2 RISK IDENTIFICATION

- Risk Assessment
- Gap Analysis
- Risk Mapping

### 3 BASIC ERM

Risk Identification

#### Foundation

- FOUNDATION TASKS
- RISK ASSESSMENT TASKS
- Risk Mgmt for 2-3 critical risks
- Evaluate existing RM structures
- Enhanced management reports
- Dashboards
- Monitoring tools
- Risk based Communication

### 4 ENHANCED ERM

Risk Identification

#### Foundation

- Foundation Tasks
- RISK Identification
- Enhanced Framework

### IS AUDIT

- Operating Systems Audit
- Database Audit
- Networking Audit
- Firewall Audit
- IDS Audit
- Web Application, Data Center Audit
- Internet Banking, Core Banking Audit
- Performance & Forensic Auditing
- Application Systems - Functional review
- Compliance with IS Policies & Procedures

### INFORMATION SECURITY

- Penetration Testing
- Application Systems - Security review
- Review of IS Controls
- BS 7799 / (ISO 27001) Implémentation
- Formation of IS Security Policy
- Compliance with IS Policies & Procedures

### IT GOVERNANCE

- COBIT
- ValIT
- Balanced Scorecard
- IT & Business Maturity Models

### IT ASSURANCE

- Business Continuity Planning
- Computer Crime Investigations
- Training in IT
- Compliance with IS Policies & Procedures



TrainingCentral



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Our team members have worked at world class Companies

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### Manoj Jain

- ▲ **Founder - Riskpro**
- ▲ CA, CPA, MBA-Finance (USA), FRM (GARP)
- ▲ Over 10 years international experience – 6 years in Bahrain and 4 years USA
- ▲ 15 years exp in risk consulting and internal audits
- ▲ Sox Compliance project for Fannie Mae, USA ( \$900+ Billion Mortgage Company)
- ▲ Specialization in Operational Risk, Basel II, Sox and Control design
- ▲ Led medium to large engagement teams

### Rahul Bhan

- ▲ **Co- Founder - Riskpro**
- ▲ CA (India), MBA (Netherlands), CIA (USA)
- ▲ Over 15 years of extensive internal and external audit experience in India and abroad.
- ▲ Worked with KPMG United Arab Emirates, PKF South Africa, Ernst and Young Kuwait, Deloitte Netherlands and KPMG India.
- ▲ Worked with clients in a wide variety of industries and countries including trading, retail and consumer goods, NGO, manufacturing and banking and finance. Major clients include banks, investment companies, manufacturing organizations, aviation etc.

### Casper Abraham

- ▲ **Co-Founder - Riskpro**
- ▲ PGD (Electrical & Electronics & Computer Programming)
- ▲ 30 years of experience in Information & Communications Technology (ICT) Solutions for Retail, Garments, Manufacturing, Services Industries.
- ▲ Has created Companies, Divisions, Products, Brands, Teams & Markets.
- ▲ Consulting in Business, Technology, Marketing & Sales & Strategic Planning.
- ▲ Advisory, Training, Workshops & Implementation in Systems Thinking, Systems Modeling & Balanced Scorecard
- ▲ Worked with TIFR, Mahindra, Ambience, Communico-Graphique & Ionidea Inc, USA,

### Rajesh Jhalani

- ▲ **Co-founder- Riskpro**
- ▲ B.Com, FCA
- ▲ Senior Partner with 48 year old Delhi based Chartered Accountant firm, Mehrotra and Mehrotra
- ▲ Over 19 years of experience in the field of Audit, Taxation, Company law matters.
- ▲ Major clients served are NTPC, BHEL, Bank of India, PNB, Airport Authority of India etc.

Anjay Agarwal

- ▲ **Co-founder- Riskpro**
- ▲ LLB, CA, CISA, CWA, CS, CFE and others
- ▲ Over 15 years of experience in the field of Audit, Taxation, Investigations.
- ▲ Specializing in the field of Systems Audit, Cybrex Audit, Computer Crime Investigations, IS Forensics
- ▲ International Committee Member of Governmental and Regulatory Agencies Board and Academic Relations Committee of ISACA, USA

Piyush Kumar

- ▲ **Co-founder- Riskpro**
- ▲ Founder of PMG, a TQM Consulting Co in Delhi
- ▲ Mechanical Engineer
- ▲ 20+years experience in TQM concepts.
- ▲ Strong skill set in various productivity & quality improvement projects including Six Sigma offerings
- ▲ Past experiences include reputed organizations like Andersen Consulting, Eicher Consulting & Nathan & Nathan consultants

### Mr. MP Mehrotra

- ▲ **Founder partner of Mehrotra and Mehrotra, a 48 year old CA firm in India**
- ▲ Bcpm, FCA, LLB
- ▲ Over 48 years of experience in audits, taxation, legal matters, loan syndication etc.
- ▲ Trustee of Cochin Port Trust, Member of Task Force for MOUs, Ministry of Heavy Industries & Public Enterprises, Govt. of India, Ex- Member of Central Board of Trustees, Employees' Provident Fund Organisation (EPFO), Ministry of Labour, Govt. of India, New Delhi.
- ▲ Ex - Director, Canara Bank

### Mr. PK Gupta

- ▲ **Practicing chartered accountant in Delhi**
- ▲ CA, ICWA with over 35 years of professional experience.
- ▲ Trustee, Kargil Shaheed Smarak Samiti
- ▲ Hony. Treasurer, World Academy of Spiritual Sciences (WASS).
- ▲ Panel Arbitrator, International Centre for Alternative Dispute Resolution
- ▲ Arbitrator, Cement Corporation of India, Bombay Stock Exchange Limited
- ▲ Arbitrator, Central Depository Services (India) Limited
- ▲ Arbitrator's Panel of Indian Council of Arbitration

# RESUMES - PARTNERSHIPS

Andrew Hiles

- ▲ **Specialist Risk Consultant – Business Continuity**
- ▲ Founder and 15-year Chairman of Survive, the first international user group for Business Continuity professionals
- ▲ Founding director and first Fellow of the Business Continuity Institute
- ▲ Over 25 years international consulting expertise in Risk, Crisis, Emergency, Incident, and Business Continuity and ICT Disaster Recovery Management
- ▲ Multi-sector experience including Banking, Insurance, Finance, Oil, Gas, Energy, Manufacturing, Retail, Hi-Tech & Telecom
- ▲ Western Press Award for services to business, 1994; BCI/CIR nomination for lifetime achievement in BC, 1999, London; inducted into BC Hall of Fame by CPM magazine, 2004, Washington DC.

Chris E. Mandel

- ▲ **Specialist Risk Consultant – Enterprise Risk Management**
- ▲ Highly skilled risk and insurance professional with 25 years of experience designing, developing and implementing large, global corporate risk management programs for Fortune 500 firms.
- ▲ Principal Consultant and Founder - Excellence in Risk Management, LLC. (Texas, USA)
- ▲ Past experiences include USAA, PepsiCo, American National Red Cross ,Verizon

# Contacts

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# THANKS