

Risk Management Advisory & Consulting



Risk • Pro

Connecting Risk Professionals

Riskpro, India



What do I do?

Risk • Pro

Who is Riskpro... Why us?

ABOUT US

- ❑ Riskpro is an organisation of member firms around India devoted to client service excellence. Member firms offer wide range of services in the field of risk management.
- ❑ Currently it has offices in three major cities Mumbai, Delhi and Bangalore and alliances in other cities.
- ❑ Managed by experienced professionals with experiences spanning various industries.

MISSION

- ❑ Provide integrated risk management consulting services to mid-large sized corporate /financial institutions in India
- ❑ Be the preferred service provider for complete Governance, Risk and Compliance (GRC) solutions.

VALUE PROPOSITION

- ❑ You get quality advisory, normally delivered by large consulting firms, at fee levels charged by independent & small firms
- ❑ High quality deliverables
- ❑ Multi-skilled & multi-disciplined organisation.
- ❑ Timely completion of any task
- ❑ Affordable alternative to large firms

DIFFERENTIATORS

- ❑ Risk Management is our main focus
- ❑ Over 200 years of cumulative experience
- ❑ Hybrid Delivery model
- ❑ Ability to take on large and complex projects due to delivery capabilities
- ❑ **We Hold hands, not shake hands.**

Governance, Risk and Compliance (GRC)– Need of today

Global regulatory Driven

- Increasing complexity in the regulatory and compliance requirements
- Global reach of companies and related compliance issues
- HITECH- Health industry, Solvency II-Insurance

Compliance Eg. Clause 49

- All Listed companies required to comply with SEBI
- Regulatory intervention in role of Independent Directors
- Investors expectations and protection

Best Practices

- Stepping stone to Enterprise Risk Management
- Competitive Pressures
- Manage internal scams and external frauds
- Quality conscious

Risk Management Advisory Services

Basel II/III Advisory

- Market Risk
- Credit Risk
- Operational Risk
- ICAAP

Corporate Risks

- Enterprise Risk Assessment
- Fraud Risk
- Risk based Internal Audit
- Operations Risk
- Forensic services

Information Security

- IS Audit
- Information Security
- IT Assurance
- IT Governance

Operational Risk

- Process reviews
- Policy/ Process Review
- Process Improvement
- Compliance Risk

Governance

- Corporate Governance
- Business Strategic risk
- Fraud Risk
- Forensic Accounting

Other Risks

- Business/Strategic Risk
- Reputation Risk
- Outsourcing Risk
- Contractual Risk

Training

- Banking – E Learning
- Corporate Training
- Regular Risk Management Training
- Online Training material
- Workshops / Events

Recruitment

- Virtual Risk Managers
- Full Time Risk Professionals
- Part time Risk Professionals
- Risk Managers on call – free

1. Corporate Training

- ▲ Riskpro has strengths in providing resources for Institutional and Corporate Training.
- ▲ All our Trainers and Faculty are industry professionals who have knowledge of Risk Management.
- ▲ Suitable for companies with specific needs

2. Risk Management Classroom Trainings

- ▲ Riskpro has strengths in providing classroom based trainings on various topics.
 - Pre-determined frequency of regular training in classrooms, halls across cities

3. Online Web based trainings

- ▲ Low cost training at regular, fixed dates. A unique delivery model of training over the web
- ▲ Can be specific for a corporate or combined with generic trainings with multiple participants
- ▲ Training can be delivered to a larger audience across multiple cities

4. Free /low cost Risk Management Webinars

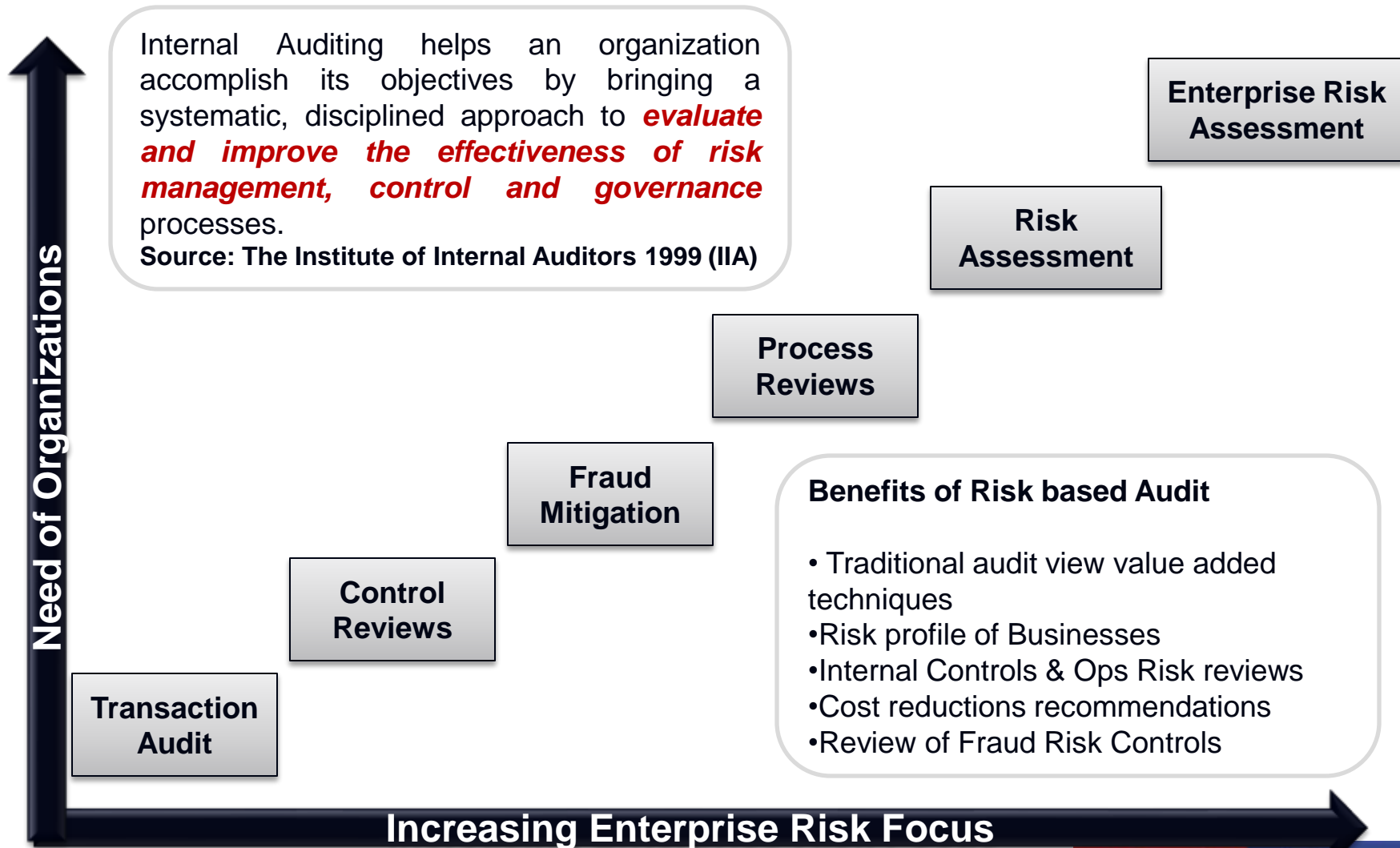
- ▲ Webinars by industry experts on topics of interest and of recent and relevance
 - Register for Free Risk Management Webinars, Webcasts – (<http://riskpro.in/risk-webinars>)
 - View recordings of past risk management webinars – (<http://riskpro.in/pastwebinarslisting>)

Full Time Staffing

- ▲ Riskpro is constantly building its network of Risk Professionals across India
 - Today, we can help you hire risk professionals at all levels of experience
- ▲ We differentiate from other recruitment firms, because, as Risk Managers ourselves, we are able to better match your requirements and candidate experience
- ▲ Our charge out rates are competitive
 - Slab rates. As we do more business with you, the rates come down.

Temporary Staffing - The Project Team

- ▲ Pool of highly qualified staff with deep risk expertise
- ▲ Available for your short to medium term needs
- ▲ Varying levels of professionals available. Experience starting from 4 years to 20 years. All will have CA, ICWA or equivalent qualification.
- ▲ The Project employees pool will be available as per your needs and the employees on site can start/stop projects as per your master project plan.



Our Solution for Fraud Investigation



Based on our understanding of your requirements, we have customized a package of our solution offerings to meet your needs.

To detect and prevent fraud and evaluate Code Of Conduct Compliance on following parameters :

- Fraud Detection
- Fraudulent Vendor
- Recruiting new dealers, suppliers, franchisees or distributors
- Anti-Fraud Measures
- Monitoring Compliance and Authorization
- Workplace Practice
- Background check for employees
- Background check for customers
- Prevent default of high value bills

Our Solution For you



Benefits To You

- Protects you from any Monetary or Reputation damage
- Code of conduct establishment
- Enables you to identify risks / control gaps
- Helps you identify any undisclosed production
- Risk Mitigation

You select the level and size of ERM efforts to suit your needs and budget.

1 Foundation

- ERM vision
- Goals and objectives
- Policies
- Organization structure
- Alignment to strategies

2 RISK IDENTIFICATION

- Risk Assessment
- Gap Analysis
- Risk Mapping

3 BASIC ERM

Risk Identification

Foundation

- FOUNDATION TASKS
- RISK ASSESSMENT TASKS
- Risk Mgmt for 2-3 critical risks
- Evaluate existing RM structures
- Enhanced management reports
- Dashboards
- Monitoring tools
- Risk based Communication

4 ENHANCED ERM

Risk Identification

Foundation

- Foundation Tasks
- RISK Identification
- Enhanced Framework

IS AUDIT

- Operating Systems Audit
- Database Audit
- Networking Audit
- Firewall Audit
- IDS Audit
- Web Application, Data Center Audit
- Internet Banking, Core Banking Audit
- Performance & Forensic Auditing
- Application Systems - Functional review
- Compliance with IS Policies & Procedures

INFORMATION SECURITY

- Penetration Testing
- Application Systems - Security review
- Review of IS Controls
- BS 7799 / (ISO 27001) Implémentation
- Formation of IS Security Policy
- Compliance with IS Policies & Procedures

IT GOVERNANCE

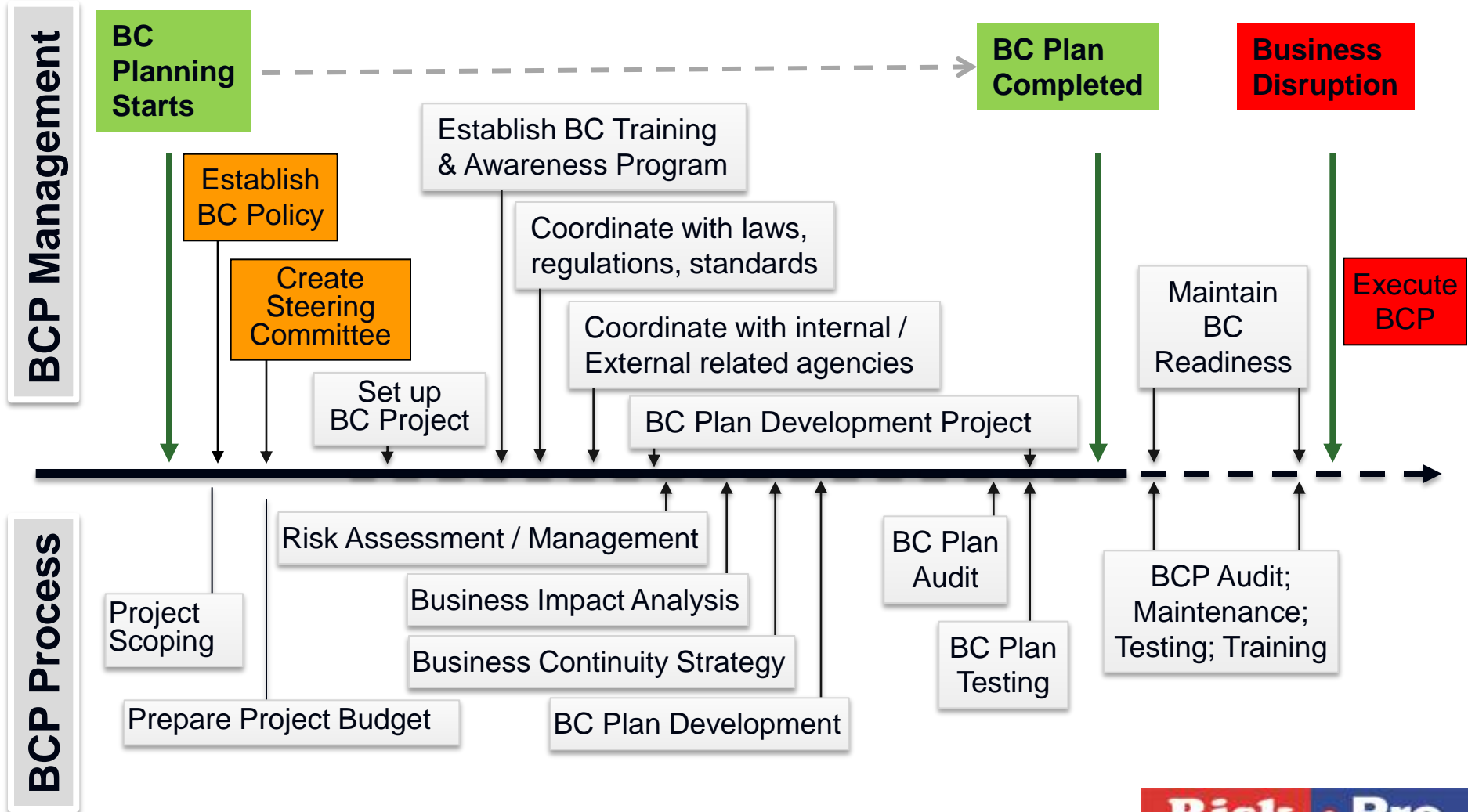
- COBIT
- ValIT
- Balanced Scorecard
- IT & Business Maturity Models

IT ASSURANCE

- Business Continuity Planning
- Computer Crime Investigations
- Training in IT
- Compliance with IS Policies & Procedures

Business Continuity Management – Processes and Timelines

How we Do





Any trademarks or logos used throughout this presentation are the property of their respective owners





Our team members have worked at world class Companies

Any trademarks or logos used throughout this presentation are the property of their respective owners

Manoj Jain

- ▲ **Co-Founder - Riskpro**
- ▲ CA, CPA, MBA-Finance (USA), FRM (GARP)
- ▲ Over 10 years international experience – 6 years in Bahrain and 4 years USA
- ▲ 15 years exp in risk management consulting and internal audits, Specialization in Operational Risk, Basel II, Sox and Control design
- ▲ Worked for Ernst & Young (Bahrain), Arab Investment Company (Bahrain), Navigant Consulting(USA), Kotak Mahindra Bank (India) and Credit Suisse(India)
- ▲ Sox Compliance project for Fannie Mae, USA (\$900+ Billion Mortgage Company)

Rahul Bhan

- ▲ **Co- Founder - Riskpro**
- ▲ CA (India), MBA (Netherlands), CIA (USA)
- ▲ Over 15 years of extensive internal and external audit experience in India and abroad.
- ▲ Worked with KPMG United Arab Emirates, PKF South Africa, Ernst and Young Kuwait, Deloitte Netherlands and KPMG India.
- ▲ Worked with clients in a wide variety of industries and countries including trading, retail and consumer goods, NGO, manufacturing and banking and finance. Major clients include banks, investment companies, manufacturing organizations, aviation etc.

Casper Abraham

- ▲ **Co-Founder - Riskpro**
- ▲ PGD (Electrical & Electronics & Computer Programming)
- ▲ 30 years of experience in Information & Communications Technology (ICT) Solutions for Retail, Garments, Manufacturing, Services Industries.
- ▲ Has created Companies, Divisions, Products, Brands, Teams & Markets.
- ▲ Consulting in Business, Technology, Marketing & Sales & Strategic Planning.
- ▲ Advisory, Training, Workshops & Implementation in Systems Thinking, Systems Modeling & Balanced Scorecard
- ▲ Worked with TIFR, Mahindra, Ambience, Communico-Graphique & Ionidea Inc, USA,

Hemant Seigell

- ▲ **Vice President – Risk Management (Fraud Risk, BFSI)**
- ▲ MBA, PDFM, NSE-NCFM, PMP, CSSGB, Trained ISO 9001:2000 I.A
- ▲ Professional with 17 years of rich experience into diverse Consumer finance/ Lending operations ,Risk Management,BPMS, Consumer Banking, NBFC, Management Consulting & Housing finance in BFSI industry having successfully led key business strategic engagements across multi-product environment in APAC, Australia and US regions.
- ▲ Worked with GE, ABN AMRO Bank, Citigroup, Accenture, Deutsche Postbank
- ▲ Highly skilled and expert Trainer in Fraud Risk areas across Credit, Corporate Risk management.
- ▲ Specializes in Fraud Control, Compliance QA ,ERM and Regulatory governance.

Rajesh Jhalani

- ▲ **Co-founder- Riskpro**
- ▲ B.Com, FCA
- ▲ Senior Partner with 48 year old Delhi based Chartered Accountant firm, Mehrotra and Mehrotra
- ▲ Over 19 years of experience in the field of Audit, Taxation, Company law matters.
- ▲ Major clients served are NTPC, BHEL, Bank of India, PNB, Airport Authority of India etc.

Gourav Ladha

- ▲ **Specialist Risk Consultant – ERP & IT Compliance**
- ▲ SAP Certified, MBA (Finance), SAP Security trained (from SAP India), SAP GRC Access Controls trained (from SAP India)
- ▲ Over 7 years of experience working in the area of ERP/IT Risk advisory, primarily focusing on SAP, for 'Fortune 500' clients in around 8 countries including US, UK, UAE, Hong Kong, etc
- ▲ Specializes in SAP Risk & Controls Advisory, SAP Business Process Controls Audit, SAP Security & Segregation of Duties Control Audit, ERP Trainings,
- ▲ Strong Industry experiences ranging from Beverages, Insurance, Energy, FMCG, Pharmaceutical, Retail, Telecommunication to IT Services
- ▲ Worked for risk advisory teams of reputed organizations like Ernst & Young, EXL Services

Anjay Agarwal

Consultant – Information Security & IT Governance

- ▲ LLB, CA, CISA, CWA, CS, CFE and others
- ▲ Over 15 years of experience in the field of Audit, Taxation, Investigations.
- ▲ Specializing in the field of Systems Audit, Cybrex Audit, Computer Crime Investigations, IS Forensics
- ▲ International Committee Member of Governmental and Regulatory Agencies Board and Academic Relations Committee of ISACA, USA

Piyush Kumar

Consultant – Quality Management

- ▲ Founder of PMG, a TQM Consulting Co in Delhi
- ▲ Mechanical Engineer
- ▲ 20+years experience in TQM concepts.
- ▲ Strong skill set in various productivity & quality improvement projects including Six Sigma offerings
- ▲ Past experiences include reputed organizations like Andersen Consulting, Eicher Consulting & Nathan & Nathan consultants

RESUMES - PARTNERSHIPS

Andrew Hiles

- ▲ **Specialist Risk Consultant – Business Continuity**
- ▲ Founder and 15-year Chairman of Survive, the first international user group for Business Continuity professionals
- ▲ Founding director and first Fellow of the Business Continuity Institute
- ▲ Over 25 years international consulting expertise in Risk, Crisis, Emergency, Incident, and Business Continuity and ICT Disaster Recovery Management
- ▲ Multi-sector experience including Banking, Insurance, Finance, Oil, Gas, Energy, Manufacturing, Retail, Hi-Tech & Telecom
- ▲ Western Press Award for services to business, 1994; BCI/CIR nomination for lifetime achievement in BC, 1999, London; inducted into BC Hall of Fame by CPM magazine, 2004, Washington DC.

Chris E. Mandel

- ▲ **Specialist Risk Consultant – Enterprise Risk Management**
- ▲ Highly skilled risk and insurance professional with 25 years of experience designing, developing and implementing large, global corporate risk management programs for Fortune 500 firms.
- ▲ Principal Consultant and Founder - Excellence in Risk Management, LLC. (Texas, USA)
- ▲ Past experiences include USAA, PepsiCo, American National Red Cross ,Verizon

Mr. MP Mehrotra

- ▲ **Founder partner of Mehrotra and Mehrotra, a 48 year old CA firm in India**
- ▲ Bcom, FCA, LLB
- ▲ Over 48 years of experience in audits, taxation, legal matters, loan syndication etc.
- ▲ Trustee of Cochin Port Trust, Member of Task Force for MOUs, Ministry of Heavy Industries & Public Enterprises, Govt. of India, Ex- Member of Central Board of Trustees, Employees' Provident Fund Organisation (EPFO), Ministry of Labour, Govt. of India, New Delhi.
- ▲ Ex - Director, Canara Bank

Mr. PK Gupta

- ▲ **Practicing chartered accountant in Delhi**
- ▲ CA, ICWA
- ▲ Over 35 years of professional experience.
- ▲ Trustee, Kargil Shaheed Smarak Samiti
- ▲ Hon. Treasurer, World Academy of Spiritual Sciences (WASS).
- ▲ Panel Arbitrator, International Centre for Alternative Dispute Resolution
- ▲ Arbitrator, Cement Corporation of India
- ▲ Arbitrator, Bombay Stock Exchange Limited
- ▲ Arbitrator, Central Depository Services (India) Limited
- ▲ Arbitrator's Panel of Indian Council of Arbitration

Contacts and Office Locations

Corporate	Mumbai	Delhi	Bangalore
<p>Riskpro India Ventures (P) Limited</p> <p>info@riskpro.in www.riskpro.in</p> <p>C 561, Defence colony New Delhi 110024</p>	<p>Manoj Jain Director M- 98337 67114 manoj.jain@riskpro.in</p> <p>Shriram Gokte Principal - Information Risk M- 98209 94063 shriram.gokte@riskpro.in</p>	<p>Rahul Bhan Director M- 99680 05042 rahul.bhan@riskpro.in</p> <p>Hemant Seigell VP – Risk Management M- 99536-97905 hemant.seigell@riskpro.in</p>	<p>Casper Abraham Director M- 98450 61870 casper.abraham@riskpro.in</p>
Ahmedabad	Pune	Agra	
<p>Maulik Manakiwala Associate Firm M - 91 9825640046</p> <p>Gourav Ladha Sap Risk Advisory M- 97129 52955</p>	<p>M.L. Jain Principal – Strategy Risk M- 9822011987 mljain@riskpro.in</p>	<p>Alok Kumar Agarwal Associate Firm M- 99971 65253</p>	

THANKS