

Risk Management Training



Risk Pro
Connect with Risk Professionals

Riskpro, India

Need for Risk Management Training and Education in India

The need for Risk Management Training

- ▲ Risk Management weakness is often highlighted as the core of all financial crisis. Every major event and fraud is a lapse in Risk Management
- ▲ Risk Management adds value to an organisation in the long term and in a sustained manner
- ▲ Training in Risk Management is often not present in current University and MBA syllabus.
- ▲ Risk Managers are often sought and well paid. These professionals are likely to play a major role in strategy implementation of any organization in the future

Riskpro Offering

- ▲ Riskpro introduces a unique Knowledge Platform in the form of Trainings, Webinars and Corporate Training Events.
- ▲ It covers all aspects of risk management and not just focused on Banking Risk, Financial Risk. We believe that risk management is a holistic concept, not just restricted to banking/Insurance
- ▲ Our training programs are tailored to suit your industry preferences, duration, flexibility in taking the sessions and either free or at subsidized rates.

Training Objectives and Capabilities

Training Objectives

- ▲ Risk Management is a huge change in any organisation. Training and Certifications are means to achieve business excellence.
- ▲ Riskpro's objective is to see more and more people select risk management certification / training
- ▲ Riskpro is keen to provide comprehensive and quality online and offline education for risk management.

Training Capabilities

- ▲ Founding team have strong risk management experience
- ▲ Pool of quality professionals with required experience to teach the subjects
- ▲ Variety of training programs to suit individual or company's needs
- ▲ Substantially lower costs that easily justify ROI

Finally, no matter how effective your risk management program or how much insurance you currently have, **Risk Management Training is still the most cost effective tool for risk mitigation**

1. Corporate Training

- ▲ Riskpro has strengths in providing resources for Institutional and Corporate Training.
- ▲ All our Trainers and Faculty are industry professionals who have knowledge of Risk Management.
- ▲ Suitable for companies with specific needs

2. Risk Management Classroom Trainings

- ▲ Riskpro has strengths in providing classroom based trainings on various topics.
 - Pre-determined frequency of regular training in classrooms, halls across cities

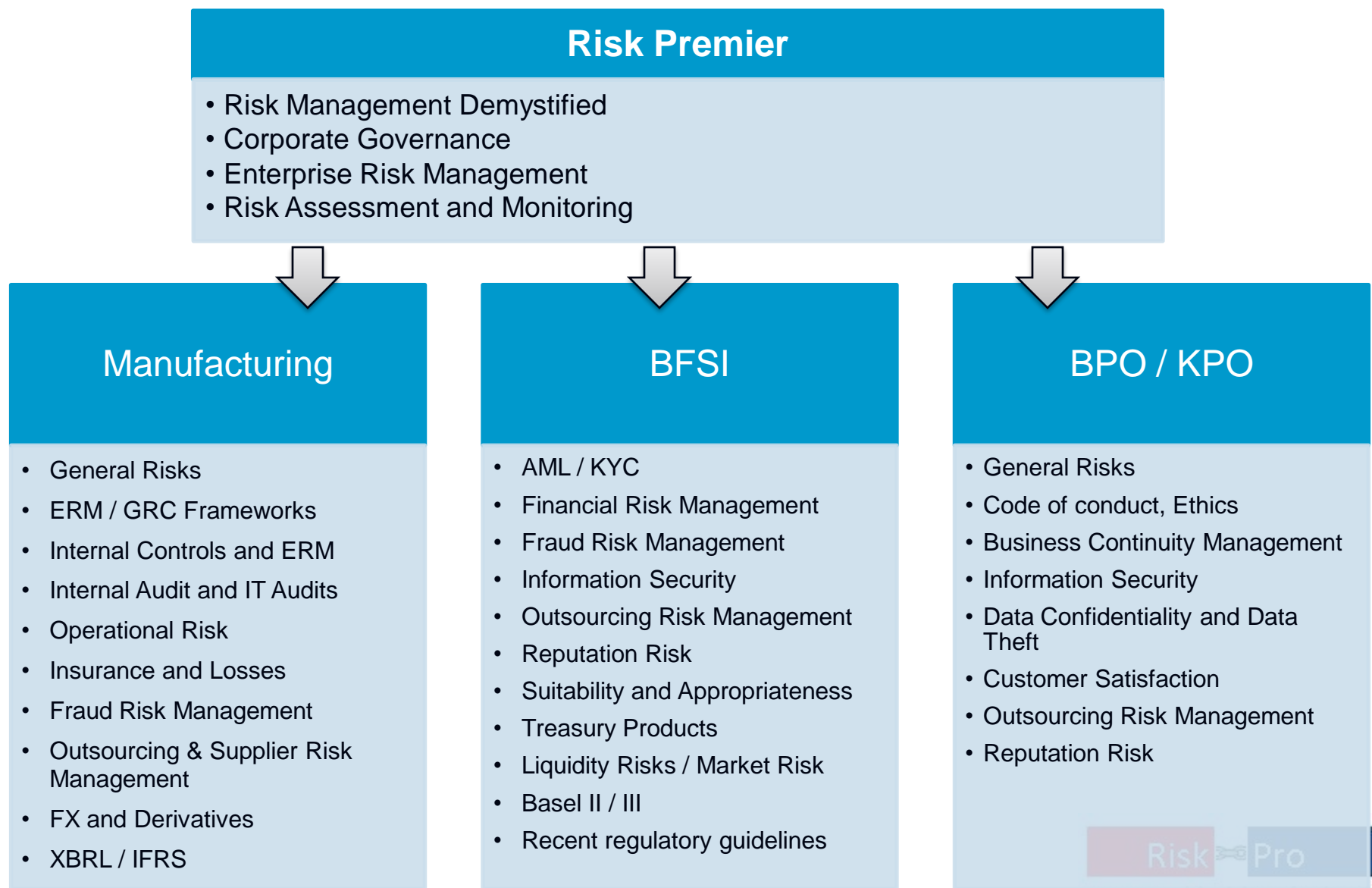
3. Online Web based trainings

- ▲ Low cost training at regular, fixed dates. A unique delivery model of training over the web
- ▲ Can be specific for a corporate or combined with generic trainings with multiple participants
- ▲ Training can be delivered to a larger audience across multiple cities

4. Free /low cost Risk Management Webinars

- ▲ Webinars by industry experts on topics of interest and of recent and relevance
 - Register for Free Risk Management Webinars, Webcasts – (<http://riskpro.in/risk-webinars>)
 - View recordings of past risk management webinars – (<http://riskpro.in/pastwebinarslisting>)

Risk Management Training Landscape



Why

- ❑ Today organisations face immense regulatory, compliance and operational risks
- ❑ People who execute key processes such as settlements, KYC, AML reviews, account openings, payment processing are some times not trained enough
- ❑ **Untrained people often make excessive errors or ignore key process controls**
- ❑ **Web based training is a very cost effective way to impart knowledge to large employee base to minimize operational and reputational risks**

How

- ❑ **This is not E – Learning.** This is real industry experts talking about key issues.
- ❑ A series of 5-10 sessions are customized and outlined that touch upon key risk issues and compliance requirements.
- ❑ Practical, industry knowledge is shared as the speakers are industry experts
- ❑ The sessions are grouped with other users to bring down delivery costs. We can also deliver sessions exclusively for your organisation.

How Much

- ❑ **Training can be delivered as low as Rs 150/ employee / per session.**
- ❑ **We can discuss your training requirements and provide final quote**

Session Topic	Key Learning	Duration
KYC / AML Fundamentals and Framework	<ul style="list-style-type: none"> ▪KYC / AML framework ▪Blocking problem accounts at account opening ▪Importance of KYC/AML in accounts outsourced by third parties 	1.5 Hours
Fraud Risk Management in Banks	<ul style="list-style-type: none"> ▪Overview of frauds ▪Examples of frauds in banks 	1.5 Hours
EWI for Frauds	<ul style="list-style-type: none"> ▪Early detection and remediation of frauds ▪Understand process controls to management frauds 	1 Hour
Fraud and Risk Analytics	<ul style="list-style-type: none"> ▪Detection of frauds through database queries ▪Building effective fraud risk Analytics and Reporting 	1 Hour
Reputation Risk Management	<ul style="list-style-type: none"> ▪Role of employees in reputation risk management ▪Outsourced arrangements and increase in reputation risk 	1 Hour
Total Training		6 Hours

Target Audience: Branch Banking Staff, Operations Dept, Customer Service and Clearing Depts, Internal Audit. Even all new hires at lower levels can benefit.

ORM Training Agenda

EXAMPLE TRAINING

Session Topic	Key Learning	Duration
Operational Risk Management Fundamentals	<ul style="list-style-type: none">▪Operational Risk in Banks▪Definitions, types, and significance of operational risk▪Examples of Operational Risk / perspective on ORM in Banks▪Introduction to ORM and Basel II▪Approaches to Operational Risk Basic / TSA / AMA	1 Hour
Risk and Control Self Assessment & KRI	<ul style="list-style-type: none">▪RCSA Overview and objectives▪Formats and approach to Risk Assessment▪Overview and use of KRI in monitoring▪Developing a strong KRI Framework	1 Hour
Loss Event Reporting	<ul style="list-style-type: none">▪Loss Database Objectives▪Loss Event Reporting policy and process▪Near miss and their value▪Deriving value from loss event reporting	2 Hour
Scenario Analysis	<ul style="list-style-type: none">▪Approach to risk forecasting using Scenario Analysis▪Examples of scenarios and its use in Bank Capital	1 Hour
Basel II and ORM	<ul style="list-style-type: none">▪Capital calculations as per Basel II – High level awareness▪Basic Indicator / TSA / Advanced Measurement approach	1 Hour
Total Training		6 Hours

Session Topic	Key Learning	Duration
Recap of Basel II and evolution of Basel III	<ul style="list-style-type: none"> ▪ A quick recap of Basel II ▪ Evolution to Basel III and key enhancements 	2 Hours
Capital and Leverage Ratio	<ul style="list-style-type: none"> ▪ Raising quality of the capital base ▪ Complete understanding of eligible capital under Basel III ▪ Exposure measurement and computation process ▪ Calibration of the leverage ratio 	2 Hours
Harmonizing liquidity standards	<ul style="list-style-type: none"> ▪ Understanding of LCR, NSFR and liq. management framework ▪ Net stable funding ratio (NSFR): measurement methodology ▪ Impact of harmonizing liquidity standards 	2 Hours
Countering pro-cyclicality	<ul style="list-style-type: none"> ▪ Mitigating pro-cyclicality & the need for counter-cyclical buffer 	1 Hour
Enhancing risk coverage	<ul style="list-style-type: none"> ▪ Understanding enhanced securitization & CCR framework ▪ Forward looking provisioning ▪ Building capital buffers through capital conservation 	2 Hours
Total Training		9 Hours

Target Audience: Risk Management, Basel II Teams, Internal Audit / Finance Dept. Even all new hires at lower levels can benefit from the awareness on the important Basel III developments.

Session Topic	Key Learning	Duration
Employee related Risks	<ul style="list-style-type: none"> ▪Key people related policies and controls ▪Workplace ethics and employee harassment ▪Important employee efficiency and effectiveness processes 	2 Hours
Fraud Risk Management	<ul style="list-style-type: none"> ▪Overview and examples of frauds in BPO / KPO sector ▪Detection of frauds through database queries ▪Building effective fraud risk Analytics and Reporting 	1Hours
Data Confidentiality and Data Theft	<ul style="list-style-type: none"> ▪Security Concerns ▪Information Security and data theft ▪Business Continuity / Internal Controls 	2 Hour
Customer Satisfaction	<ul style="list-style-type: none"> ▪Effectively managing customer satisfaction ▪Risk Awareness and training to Staff 	1 Hour
Reputation Risk Management	<ul style="list-style-type: none"> ▪Role of employees in reputation risk management ▪Outsourced arrangements and increase in reputation risk 	1 Hour
Total Training		7 Hours

Target Audience: Branch Banking Staff, Operations Dept, Customer Service and Clearing Depts, Internal Audit. Even all new hires at lower levels can benefit.

Manoj Jain

- ▲ **Co-Founder - Riskpro**
- ▲ CA, CPA, MBA-Finance (USA), FRM (GARP)
- ▲ Over 10 years international experience – 6 years in Bahrain and 4 years USA
- ▲ 15 years exp in risk consulting and internal audits
- ▲ Sox Compliance project for Fannie Mae, USA (\$900+ Billion Mortgage Company)
- ▲ Specialization in Operational Risk, Basel II, Sox and Control design
- ▲ Led medium to large engagement teams

Rahul Bhan

- ▲ **Co- Founder - Riskpro**
- ▲ CA (India), MBA (Netherlands), CIA (USA)
- ▲ Over 15 years of extensive internal and external audit experience in India and abroad.
- ▲ Worked with KPMG United Arab Emirates, PKF South Africa, Ernst and Young Kuwait, Deloitte Netherlands and KPMG India.
- ▲ Worked with clients in a wide variety of industries and countries including trading, retail and consumer goods, NGO, manufacturing and banking and finance. Major clients include banks, investment companies, manufacturing organizations, aviation etc.

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THANKS