

Risk Management Advisory Construction and Engineering



Risk Pro
Connect with Risk Professionals

Riskpro, India

Who is Riskpro... Why us?

ABOUT US

- ❑ Riskpro is an organisation of member firms around India devoted to client service excellence. Member firms offer wide range of services in the field of risk management.
- ❑ Currently it has offices in three major cities Mumbai, Delhi and Bangalore and alliances in other cities.
- ❑ Managed by experienced professionals with experiences spanning various industries.

MISSION

- ❑ Provide integrated risk management consulting services to mid-large sized corporate /financial institutions in India
- ❑ Be the preferred service provider for complete Governance, Risk and Compliance (GRC) solutions.

VALUE PROPOSITION

- ❑ You get quality advisory, normally delivered by large consulting firms, at fee levels charged by independent & small firms
- ❑ High quality deliverables
- ❑ Multi-skilled & multi-disciplined organisation.
- ❑ Timely completion of any task
- ❑ Affordable alternative to large firms

DIFFERENTIATORS

- ❑ Risk Management is our main focus
- ❑ Over 200 years of cumulative experience
- ❑ Hybrid Delivery model
- ❑ Ability to take on large and complex projects due to delivery capabilities
- ❑ **We Hold hands, not shake hands.**

Governance, Risk and Compliance (GRC)– Need of today

Global regulatory Driven

- Increasing complexity in the regulatory and compliance requirements
- Global reach of companies and related compliance issues
- HITECH- Health industry, Solvency II-Insurance

Compliance Eg. Clause 49

- All Listed companies required to comply with SEBI
- Regulatory intervention in role of Independent Directors
- Investors expectations and protection

Best Practices

- Stepping stone to Enterprise Risk Management
- Competitive Pressures
- Manage internal scams and external frauds
- Quality conscious

Risk Management Advisory Services

Basel II/III Advisory

- Market Risk
- Credit Risk
- Operational Risk
- ICAAP

Corporate Risks

- Enterprise Risk Assessment
- Fraud Risk
- Risk based Internal Audit
- Operations Risk
- Forensic services

Information Security

- IS Audit
- Information Security
- IT Assurance
- IT Governance

Operational Risk

- Process reviews
- Policy/ Process Review
- Process Improvement
- Compliance Risk

Governance

- Corporate Governance
- Business Strategic risk
- Fraud Risk
- Forensic Accounting

Other Risks

- Business/Strategic Risk
- Reputation Risk
- Outsourcing Risk
- Contractual Risk

Training

- Banking – E Learning
- Corporate Training
- Regular Risk Management Training
- Online Training material
- Workshops / Events

Recruitment

- Virtual Risk Managers
- Full Time Risk Professionals
- Part time Risk Professionals
- Risk Managers on call – free

Introduction of GST, IFRS, and Direct Tax Code

A lot of regulations are set to change in the next few years. These are sweeping changes and companies in India need to be geared up with many internal and technological changes before things are done.

Redeployment of human capital across the organization

As companies are addressing cost cutting measures, there is a lot of internal movement to absorb excess manpower in sales and operational departments. But these movements come at a hidden cost. The cost of loss of knowledge in the old role and the time taken to gain experience in the new role.

Frauds are ever-increasing

Banking and manufacturing companies alike are faced with a challenge to combat internal and external frauds. With default rates increasing for the consumer segment, the credit card industry is taking a big hit as well. Corporate governance has taken a toll with the Satyam fiasco.

Regulatory environment continues to be complex

Interpretation of complex regulations makes compliance to these regulations difficult. Future changes in regulations add to the complexity and uncertainty. And companies are not prepared for this.

Uncertainty in the global and local markets

The financial and liquidity situation across the globe continues to be gloomy. Although recovery seems not far away, whether this recovery reverses all the negative impact and brings India Inc to the mid 2008 period is a question.

Finally, competition

We can never forget that competition is always a concern for any company at any point of the economic cycle.

Risks and Challenges of Construction Industry

Project Risk Management

- Biggest challenge for construction business is the reduction in uncertainty and delays in project execution
- Requires full integration of the project components for timely completion
- Inadequate project feasibility

External factors

- Political environment
- Labour availability, skills and shortages
- Regulatory challenges
- Government rules and laws may impact future cashflows

Internal Factors

- Effective project integration
- Pressures on timelines
- Large size projects add to project uncertainty
- Coordination challenges with consortium partners, and other third party vendors
- Internal Project development processes and internal controls
- Project financing and commercials

Feasibility Study – Construction Industry

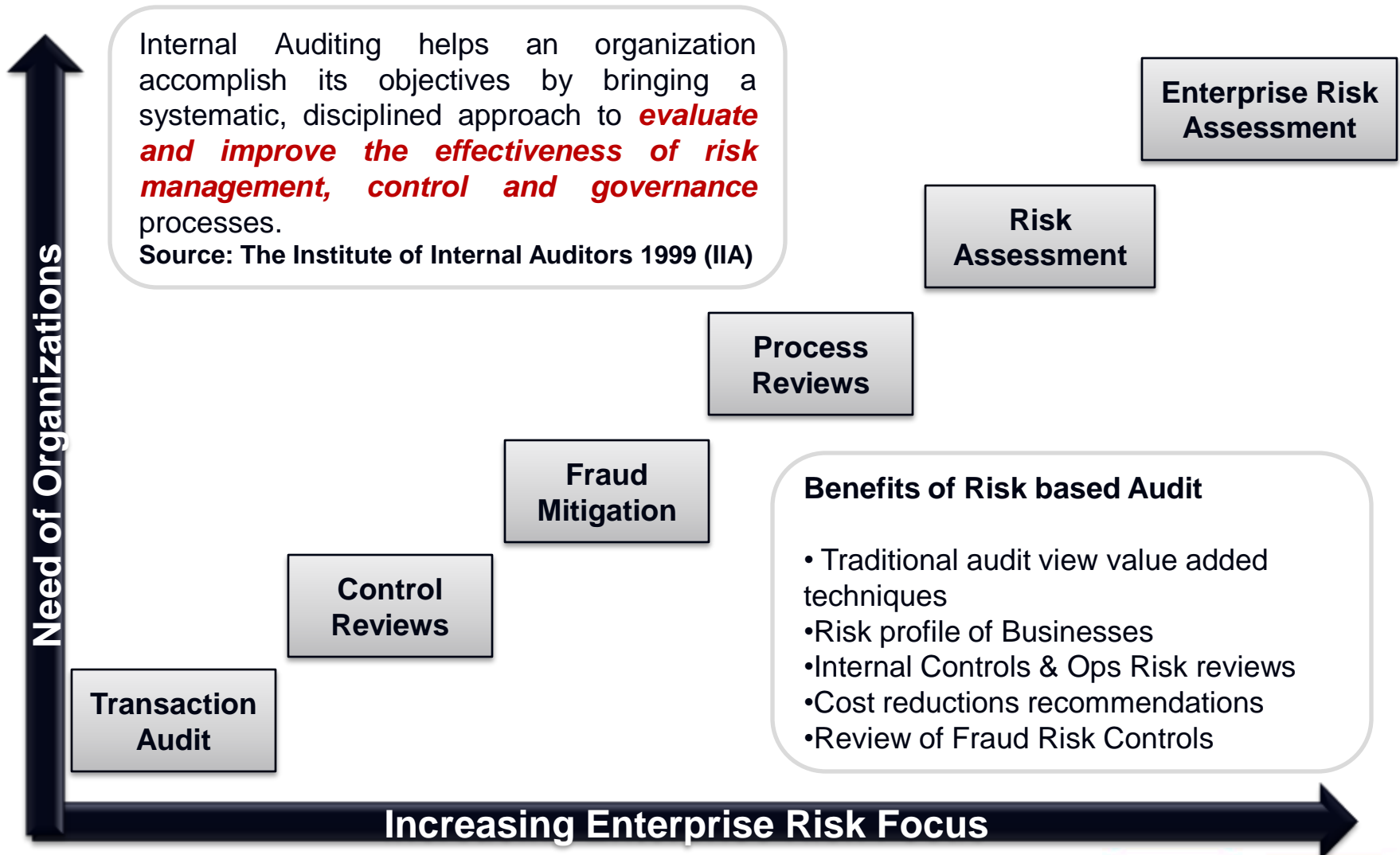
Phase / Risk Factor	Riskpro Offerings
Political and security risk analysis	Anticipate, plan for and gain competitive advantage from the variety of local political environment, regulatory requirements for organisations and security related risks across different regional Jurisdictions
Due diligence	Understand the business environment dynamics and ensure an elaborate due diligence supports new business initiatives
Threat & risk assessment & Project Feasibility	Identify and prioritize the most relevant threats and key political, security and social issues to the project before initiation of new projects and ventures.
Business risks	The competition landscape, business feasibility review and business assessment plans.
Regulatory environment	Scan of the regulatory environment with cross functional compliance requirements and linkages to global regulatory best practices

Full Time Staffing

- ▲ Riskpro is constantly building its network of Risk Professionals across India
 - Today, we can help you hire risk professionals at all levels of experience
- ▲ We differentiate from other recruitment firms, because, as Risk Managers ourselves, we are able to better match your requirements and candidate experience
- ▲ Our charge out rates are competitive
 - Slab rates. As we do more business with you, the rates come down.

Temporary Staffing - The Project Team

- ▲ Pool of highly qualified staff with deep risk expertise
- ▲ Available for your short to medium term needs
- ▲ Varying levels of professionals available. Experience starting from 4 years to 13 years. All will have CA, ICWA or equivalent qualification.
- ▲ The Project employees pool will be available as per your needs and the employees on site can start/stop projects as per your master project plan.



Our Solution for Fraud Investigation



Based on our understanding of your requirements, we have customized a package of our solution offerings to meet your needs.

To detect and prevent fraud and evaluate Code Of Conduct Compliance on following parameters :

- Fraud Detection
- Fraudulent Vendor
- Recruiting new dealers, suppliers, franchisees or distributors
- Anti-Fraud Measures
- Monitoring Compliance and Authorization
- Workplace Practice
- Background check for employees
- Background check for customers
- Prevent default of high value bills

Our Solution For you



Benefits To You

- Protects you from any Monetary or Reputation damage
- Code of conduct establishment
- Enables you to identify risks / control gaps
- Helps you identify any undisclosed production
- Risk Mitigation

You select the level and size of ERM efforts to suit your needs and budget.

<p>1 Foundation</p>	<p>2 RISK IDENTIFICATION</p>	<p>3 BASIC ERM</p> <p>Risk Identification</p> <p>Foundation</p>	<p>4 ENHANCED ERM</p> <p>Risk Identification</p> <p>Foundation</p>
<ul style="list-style-type: none"> •ERM vision •Goals and objectives •Policies •Organization structure •Alignment to strategies 	<ul style="list-style-type: none"> •Risk Assessment •Gap Analysis •Risk Mapping 	<ul style="list-style-type: none"> •FOUNDATION TASKS •RISK ASSESSMENT TASKS •Risk Mgmt for 2-3 critical risks •Evaluate existing RM structures •Enhanced management reports •Dashboards •Monitoring tools •Risk based Communication 	<ul style="list-style-type: none"> •Foundation Tasks •RISK Identification •Enhanced Framework



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Our team members have worked at world class Companies

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Manoj Jain

- ▲ **Co-Founder - Riskpro**
- ▲ CA, CPA, MBA-Finance (USA), FRM (GARP)
- ▲ Over 10 years international experience – 6 years in Bahrain and 4 years USA
- ▲ 15 years exp in risk consulting and internal audits
- ▲ Sox Compliance project for Fannie Mae, USA (\$900+ Billion Mortgage Company)
- ▲ Specialization in Operational Risk, Basel II, Sox and Control design
- ▲ Led medium to large engagement teams

Rahul Bhan

- ▲ **Co- Founder - Riskpro**
- ▲ CA (India), MBA (Netherlands), CIA (USA)
- ▲ Over 15 years of extensive internal and external audit experience in India and abroad.
- ▲ Worked with KPMG United Arab Emirates, PKF South Africa, Ernst and Young Kuwait, Deloitte Netherlands and KPMG India.
- ▲ Worked with clients in a wide variety of industries and countries including trading, retail and consumer goods, NGO, manufacturing and banking and finance. Major clients include banks, investment companies, manufacturing organizations, aviation etc.

Casper Abraham

- ▲ **Co-Founder - Riskpro**
- ▲ PGD (Electrical & Electronics & Computer Programming)
- ▲ 30 years of experience in Information & Communications Technology (ICT) Solutions for Retail, Garments, Manufacturing, Services Industries.
- ▲ Has created Companies, Divisions, Products, Brands, Teams & Markets.
- ▲ Consulting in Business, Technology, Marketing & Sales & Strategic Planning.
- ▲ Advisory, Training, Workshops & Implementation in Systems Thinking, Systems Modeling & Balanced Scorecard
- ▲ Worked with TIFR, Mahindra, Ambience, Communico-Graphique & Ionidea Inc, USA,

Shriram Gokte

- ▲ **Co-Founder - Riskpro**
- ▲ B Tech MBA
- ▲ 22 years of audit, risk management, information security & Compliance experience
- ▲ Most recent employment with Paternoster, a UK Insurance company as Director Risk & Compliance
- ▲ Worked for Principal Financial Group at their Des Moines USA HO and then Birla Sun Life Insurance as CRO
- ▲ Strong operational process, risks, info sec and internal controls experience
- ▲ Has taken 3 companies through ISO 27001 certifications.

Rajesh Jhalani

- ▲ **Co-founder- Riskpro**
- ▲ B.Com, FCA
- ▲ Senior Partner with 48 year old Delhi based Chartered Accountant firm, Mehrotra and Mehrotra
- ▲ Over 19 years of experience in the field of Audit, Taxation, Company law matters.
- ▲ Major clients served are NTPC, BHEL, Bank of India, PNB, Airport Authority of India etc.

Gourav Ladha

- ▲ **Specialist Risk Consultant – ERP & IT Compliance**
- ▲ SAP Certified, MBA (Finance), SAP Security trained (from SAP India), SAP GRC Access Controls trained (from SAP India)
- ▲ Over 7 years of experience working in the area of ERP/IT Risk advisory, primarily focusing on SAP, for 'Fortune 500' clients in around 8 countries including US, UK, UAE, Hong Kong, etc
- ▲ Specializes in SAP Risk & Controls Advisory, SAP Business Process Controls Audit, SAP Security & Segregation of Duties Control Audit, ERP Trainings,
- ▲ Strong Industry experiences ranging from Beverages, Insurance, Energy, FMCG, Pharmaceutical, Retail, Telecommunication to IT Services
- ▲ Worked for risk advisory teams of reputed organizations like Ernst & Young, EXL Services

Anjay Agarwal

Consultant – Information Security & IT Governance

- ▲ LLB, CA, CISA, CWA, CS, CFE and others
- ▲ Over 15 years of experience in the field of Audit, Taxation, Investigations.
- ▲ Specializing in the field of Systems Audit, Cybrex Audit, Computer Crime Investigations, IS Forensics
- ▲ International Committee Member of Governmental and Regulatory Agencies Board and Academic Relations Committee of ISACA, USA

Piyush Kumar

▲ Consultant – Quality Management

- ▲ Founder of PMG, a TQM Consulting Co in Delhi
- ▲ Mechanical Engineer
- ▲ 20+years experience in TQM concepts.
- ▲ Strong skill set in various productivity & quality improvement projects including Six Sigma offerings
- ▲ Past experiences include reputed organizations like Andersen Consulting, Eicher Consulting & Nathan & Nathan consultants

RESUMES - PARTNERSHIPS

Sanjiv Agarwal

- ▲ **Consultant – Corporate Governance**
- ▲ FCA, FCS, ACIS (UK), CFC (USA), PHD (Corporate Governance)
- ▲ 25 yrs of professional experience as a Chartered Accountant with exposure in accounting, auditing, corporate laws, service tax matters and banking/ financial services.
- ▲ Large number of published articles on subjects such as Service Tax, Accountancy and Auditing, Capital Market, Merchant Banking, Taxation, Corporate Governance and Corporate laws. He has authored/ edited twenty five books.
- ▲ He has been a SEBI nominated Director on the Boards of Jaipur Stock Exchange Ltd. and JSEL Securities Ltd. He has also been an independent Director on the Board of Compucom Software Limited for a period of 3 years and has also been an independent Director of State Bank of Bikaner & Jaipur for 6 years since August 2004, where he held various positions including that of audit committee chairman.

Rakesh Kochar

- ▲ **Corporate Consultant**
- ▲ 25 years of work experience both In India and Middle-East with some of the largest audit and assurance, software and trading companies in the world.
- ▲ Some of the companies where he created his reputation were, Senior Director (Global Revenues), Oracle India; Bangalore, EFunds International, Ernst & Young, Dubai, U.A.E, Jumbo Electronics Co. Ltd. (LLC), Dubai, U.A.E., Price Waterhouse Coopers.
- ▲ Rakesh is an expert on Financial & Accounts outsourcing and operations of all aspects of “shared centers” including HR processes and people strategy catering to global operations across Order to Cash, Procure to Pay and Reporting and Analysis.

RESUMES - PARTNERSHIPS

Andrew Hiles

▲ **Specialist Risk Consultant – Business Continuity**

- ▲ Founder and 15-year Chairman of Survive, the first international user group for Business Continuity professionals
- ▲ Founding director and first Fellow of the Business Continuity Institute
- ▲ Over 25 years international consulting expertise in Risk, Crisis, Emergency, Incident, and Business Continuity and ICT Disaster Recovery Management
- ▲ Multi-sector experience including Banking, Insurance, Finance, Oil, Gas, Energy, Manufacturing, Retail, Hi-Tech & Telecom
- ▲ Western Press Award for services to business, 1994; BCI/CIR nomination for lifetime achievement in BC, 1999, London; inducted into BC Hall of Fame by CPM magazine, 2004, Washington DC.

Chris E. Mandel

▲ **Specialist Risk Consultant – Enterprise Risk Management**

- ▲ Highly skilled risk and insurance professional with 25 years of experience designing, developing and implementing large, global corporate risk management programs for Fortune 500 firms.
- ▲ Principal Consultant and Founder - Excellence in Risk Management, LLC. (Texas, USA)
- ▲ Past experiences include USAA, PepsiCo, American National Red Cross ,Verizon

Mr. MP Mehrotra

- ▲ **Founder partner of Mehrotra and Mehrotra, a 48 year old CA firm in India**
- ▲ Bcpm, FCA, LLB
- ▲ Over 48 years of experience in audits, taxation, legal matters, loan syndication etc.
- ▲ Trustee of Cochin Port Trust, Member of Task Force for MOUs, Ministry of Heavy Industries & Public Enterprises, Govt. of India, Ex- Member of Central Board of Trustees, Employees' Provident Fund Organisation (EPFO), Ministry of Labour, Govt. of India, New Delhi.
- ▲ Ex - Director, Canara Bank

Mr. PK Gupta

- ▲ **Practicing chartered accountant in Delhi**
- ▲ CA, ICWA
- ▲ Over 35 years of professional experience.
- ▲ Trustee, Kargil Shaheed Smarak Samiti
- ▲ Hon. Treasurer, World Academy of Spiritual Sciences (WASS).
- ▲ Panel Arbitrator, International Centre for Alternative Dispute Resolution
- ▲ Arbitrator, Cement Corporation of India
- ▲ Arbitrator, Bombay Stock Exchange Limited
- ▲ Arbitrator, Central Depository Services (India) Limited
- ▲ Arbitrator's Panel of Indian Council of Arbitration

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THANKS